UNIVERSITY OF MARYLAND, BALTIMORE COUNTY

REQUEST FOR PROPOSAL # BC-21095-M

FOR

SOURCE TO SETTLE SOLUTION

ISSUE DATE: MARCH 9, 2018

IMPORTANT NOTE: Prospective proposers who have received this document from a source other than the Issuing Office should immediately contact the Issuing Office and provide their name and mailing address in order that amendments to the Request for Proposal or other communications can be sent to them. Any Prospective Proposer who fails to notify the Issuing Office with this information assumes complete responsibility in the event that they do not receive communications from the Issuing Office prior to the closing date.

UNIVERSITY OF MARYLAND BALTIMORE COUNTY
1000 Hilltop Circle, Administration Building 7th Floor
Baltimore, Maryland 21250

procurement.umbc.edu
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Technical Proposal – Phase I Questionnaire is packaged separately from this document.
Solicitation schedule

Issue Date: March 9, 2018

Questions Regarding Initial Phase of the Procurement Due: March 28, 2018

Initial Technical Proposal: April 6, 2018 by 10:00 am Eastern Time

(to be submitted electronically to Tech_Pr.hf1rjkgebmpa4a4x@u.box.com)

Demonstrations: April 30, 2018

Questions regarding Second Technical Proposal due: May 18, 2018

(Only shortlisted firms based on the demonstrations will be invited to submit a second technical proposal.)

Second Technical Proposal Due from shortlisted firms: May 29, 2018 by 2:00 pm Eastern Time

Technical Demonstrations: June 21 – June 22, 2018

(Only shortlisted firms following evaluation of second technical proposal will be invited to these sessions.)

Price Proposal Due Date: July 12, 2018

Contractor Selection finalized: July 24, 2018

Contract Commencement: August 1, 2018 (Projected)
SECTION I
SCOPE OF SERVICES/SOLUTION REQUIREMENTS

1. Overview
The University of Maryland, Baltimore County (UMBC) is soliciting competitive proposals from qualified firms to provide and implement a web-based software solution to provide increased efficiencies and transparency in the University’s procurement process for all phases from sourcing through settlement. UMBC seeks a complete and integrated solution that has been proven to meet the ever-changing demands of higher education procurement. The solution shall be modular to allow for additional efficiencies to be implemented over time as the needs of the University increase. All modules shall integrate with each other to reduce transactional time and minimize duplication of effort. The solution shall also integrate with UMBC’s financial system, PeopleSoft.

2. Procurement Phases
The phases for the procurement process for the Source to Settle (S2S) solution will consist of:

a. Initial Phase Technical Proposal (25 pages or less) including all appendices, but excluding the transmittal letter, bid/proposal affidavit, and acknowledgement of receipt of addenda form;

b. Demonstration of the Source to Settle solution being offered from shortlisted proposers only;

c. Second Phase Technical Proposal Submittal from shortlisted proposers only;

d. Oral Presentation/Technical Information and System Administration Discussion Sessions from shortlisted proposers only; and,

e. Price Proposal Submittal from final shortlisted proposers.

The University reserves the right to modify these phases as necessary to best meet the needs of the University.

3. Background
Established in 1966, the University of Maryland, Baltimore County (UMBC) is one of twelve institutions that along with two regional centers constitute the University System of Maryland. UMBC is located on 482 acres with 4.2 million gross square feet and only 15 minutes from Baltimore’s Inner Harbor and 30 minutes from Washington, D.C. and five minutes from BWI Airport.
UMBC combines the emphasis on teaching found at the best liberal arts colleges with the innovation of a research university. UMBC’s students take full advantage of the educational, business, cultural, and recreational resources of Baltimore and Washington, D.C. At UMBC, students work alongside faculty who are leaders in their fields; think about the hard questions of society, science, and creative expression; and then move beyond the classroom to make a difference.

UMBC is increasingly recognized as a major resource for building the State’s economy and addressing its social concerns. More than 70% of UMBC’s 53,600 active alumni live and work in Maryland, contributing significantly to the State’s economic and social vitality. In Fall 2016, UMBC had 13,640 enrolled students of which 45% are minority enrollments from nearly all 50 states and more than 80 nations, creating a richly diverse student body.

UMBC continues to be recognized for its academic program, innovation, value and campus environment including the following recent rankings:

- The 2018 U.S. News & World Report guide ranked UMBC: (a) the 7th Most Innovative university in the nation; (b) #13 top national university for Strong Commitment to Undergraduate Teaching; and (c) one of the nation’s top universities overall on the Best National Universities’ list.

- Princeton Review named UMBC one of the nation’s top universities in its 2018 guide and featured UMBC in 2017 as one of the “Colleges that Pay You Back.”

- UMBC was designated a “Minority Serving” institution for the U.S. Department of Education in February 2017.

- UMBC was named one of the nation’s top academic workplaces for the 8th consecutive year by the Chronicle of Higher Education.

- For the eighth consecutive year, Kiplinger’s Personal Finance has named UMBC a Best Value College, featuring UMBC on both its “top 100” public universities list and “top 300” national list. UMBC is one of just three universities in the state of Maryland to be featured for 2017.

- Both Forbes and Money magazines again recognized UMBC as one of the top value universities nationwide, providing one of the best investments that students and their families can make in higher education in 2017.

- Fiske Guide to Colleges recognized UMBC for top academics and supportive community in its 2017 guide.
UMBC is featured among Business First’s top 75 public colleges and universities in the US, jumping up 10 spots from the 2016 rankings.

Times Higher Education ranked UMBC in the top 5% of global universities in the 2016-17 academic year and as one of the world’s top young universities for the 5th year.

UMBC’s research expenditures totaled $78.5M for fiscal year 2017. UMBC is ranked among the Top 20 U.S. universities in NASA funding and is classified by the Carnegie Foundation as a Doctoral University – Higher Research Activity.

4. Overall Goals of Solicitation

UMBC’s procurement department is transforming from a transactional organization to a strategic one. The name of the department has recently been modified to include the term “strategic sourcing” to emphasize the focus on a partnership approach with suppliers. UMBC intends to create such a relationship with a provider of a Source to Settle (S2S) Solution which will support the University’s strategic activities. It is expected that this relationship will evolve over time as various aspects of UMBC’s business practices are modified. UMBC requires a turn-key S2S Solution to replace the patchwork system currently being used. It is the University’s desire to use this system to reduce processing time, enhance critical controls, reduce paper, provide workflow history, allow for easy document retrieval for audits, and provide greater visibility into exceptions or problems by reducing the amount of manual work for routine transactions.

UMBC is planning for a phased approach with the initial focus on the critical areas that will provide immediate functionality to the largest amount of the campus. The first phase will focus on purchasing and accounts payable, while integrating contracts and spend analytics. The second phase will address sourcing and supplier management. Subsequent phases will focus on further enhancing and expanding this software.

UMBC intends to implement the solution to achieve the following objectives:

- Transition to a SaaS (Software as a Service) environment with all documentation electronic and available in the same solution.
- Provide real-time and secure web-based access to procurement functionality.
- Improve procurement productivity with automation of the process and to minimize the manual effort required to process and pay for purchases.
- Reduce document scanning and filing time with robust retention and search capabilities.
- Improve access to purchase orders and contracts by supporting multiple browsing environments and current-generation technology.
- Support the current procurement needs and be able to scale with UMBC’s growth.
- Secure and protect all confidential information and sensitive data by implementing the appropriate level of security measures throughout the system and environment, provide security audits, as well as the necessary security elements to reduce the risk of a data breach.
UMBC expects to achieve the following outcomes with the implementation of this system:

- Increased use of University contracts to gain greater economies of scale;
- Increased end user satisfaction;
- Reduction of procurement transaction time;
- Standardization of forms and consistency of process in sourcing activities;
- Increase in hands-free procurement activities from ordering through invoice payment;
- Generation of cost savings by streamlining and standardizing current manual, paper-based procurement, contracting, payment, and other procurement processes and practices to shorten/compress cycle times;
- Visibility into all University spend to enable spend analytics, strategic sourcing, and more effective contracting to transform procurement from tactical activities to a strategic focus;
- A single platform to perform, manage, track, and report on all procurement activities;
- Establishment of consistent standards across the University that are adaptable to unique department needs;
- Reduction in the re-entry of information across the campus to minimize errors, re-work, and duplication of effort;
- Improved control over spending under management, including the ability to track and report contract price compliance and invoicing errors;
- Facilitation of the selection of the best and most appropriate pricing through comparisons across University contracts;
- Facilitation of achievement of diversity and small business goals and objectives through broader visibility of these types of suppliers; and
- Improvement in the accountability and auditability of all procurement actions.

The solution shall be a hosted software-as-a-services (SaaS) license model that is generally available and currently implemented and utilized by multiple higher education institutions. Any solution modifications or additions necessary to enable the solution to operate according to all technical and functional requirements must be approved by UMBC and must become part of the base product and not require additional fees/costs with future releases or upgrades of the Solution. UMBC anticipates the implementation of the solution to be completed in a timely fashion and in accordance with best practices in implementation, data migration, project management, change management, training, and support.

5. Challenges to be Solved/Current Environment

UMBC procurement related activity is currently comprised primarily of a paper-based environment with a handful of standalone solutions/systems for managing University contracts and purchases which do not interact with each other. The University utilizes PeopleSoft as the ERP system of record and is in the process of upgrading to version 9.2. PeopleSoft handles the requisitions, purchase orders, and payment with an interface to the State’s payment system, as all payments for UMBC are made by the Maryland Comptroller’s office. The activities of sourcing, solicitation management, contract administration, and spend analysis are conducted primarily
without the use of any enabling technology, although documents are received and stored in Box. The University currently uses the US Bank procurement card program, which is managed and overseen by the Maryland Comptroller’s office. The pcard activity is integrated with PeopleSoft for reconciliation. Any need to compile University-wide information is a very protracted and time-consuming effort which has an inordinate margin of error inherent to pulling data streams together from disparate manual processes and solutions/systems. As a result, the University’s ability to make strategic decisions, by leveraging total spend on any requirements or contracts, is severely diminished.

Approvals for purchases on campus are made through a variety of internal structures. Shared service centers have been implemented to different degrees throughout campus. As a result, inconsistent information is provided to the procurement department depending on the frequency of purchase activity, as some individuals have a great number of interactions, while others only occasionally make purchases. The layers of individuals involved in the process between the ultimate end user and the procurement department also varies, which complicates the ability of procurement to understand the ultimate need or goal of the transaction if the information provided is not clear. Additionally, there is a lack of visibility into what is being approved or where the item is in the process.

There are also a number of challenges on the receiving side of the transaction. Receiving and payment is currently a very manual, labor intensive practice. Each invoice is reviewed by a member of the accounts payable department to determine if there is an associated purchase order and if the item has also been received in the system. Manual work is also involved in reviewing the invoices for accuracy and entering the voucher into the system. There is a lack of visibility around campus for the status of payments or invoice approval, as well as the amount that has been paid on the purchase order. There is an inability to capture or flag invoices that are almost due, and an inconsistent process for suppliers to submit invoices. PeopleSoft requires receiving to be done on dollar amount or item, and does not easily allow for partial receiving. Thus, UMBC has developed workarounds which impact inventory and asset management. Although some of these challenges will be solved with the PeopleSoft 9.2 upgrade, there will still be significant opportunity to solve the challenges of manual receiving and payment processes.

Procurement contracts are individually routed through email and redlining is primarily done on hard copies, which are then scanned and emailed. Execution of contracts is also manual. The status of the contract process is not visible to the campus, and the process to communicate back to the suppliers for any required changes is not standardized. University contracts are not easily communicated to the campus and do not have a standardized document retention location. The University does not have a clause template process, but instead rewrites standard language in the modification of supplier contracts, often by hand. The University contract templates are also stored in a variety of locations.
The University uses Shibboleth as the single-sign on platform. UMBC also uses DocuSign software, but has not integrated this program with procurement contracts.

6. Functional Requirements for the Solution
UMBC requires a solution that evolves with the procurement profession and that will allow the University to develop a best in class procurement department. This solution will provide for the implementation of new products and modules in the future to be performed with a seamless integration into the larger system.

It is expected that the following types of modules and capabilities are incorporated into the solution:

- Sourcing – electronic submittals and electronic communications to suppliers
- Contract – including authoring and repository
- Supplier – enablement, catalog loading, shopping, punch-out
- Procure – requisition/workflow, order dispatch
- Settle – invoice, receipt, matching, payment
- Analyze – compliance, supplier performance management, spend analysis

The system shall also provide for the ability to promote minority business enterprise (MBE) and small business (SBR) firms, as well as products to enhance the University’s sustainability goals, with easy capture capabilities through reporting. The platform shall allow for the flexibility to block certain catalog items or to build in additional approval routing based on the type of procurement or associated account. The solution shall provide the ability to compare products side by side and include robust search functions, including across punch-out catalogs. It shall also have the ability to easily enter and purchase non-catalog items.

The solution shall have receiving functionality to enter or upload receipt information against the related PO, including matching capabilities with automatic notifications to an end user to reconcile differences when applicable. Additionally, the system shall include a supplier portal to allow for purchase order acceptance and invoice submittal.

The contract module shall provide contract document routing and storage, including redline capability and clause repository. It shall include the ability to track and notify based on contractual deadlines or milestones, including management of insurance documentation.

The solution shall be a single sign-on, integrated through Shibboleth, and allow for an internal communication platform to end users. It shall also integrate with PeopleSoft 9.2 to encumber in the system. It shall have extensive workflow flexibility, including an ability to segregate visibility of information on the micro level. The solution shall have mobile approval capability and user interface that includes an intuitive design that mimics purchasing off of the internet.
The system shall have robust audit capabilities to ensure compliance with grant activities. It shall also allow for transparent and auditable information to be provided to the large number of external auditors that review the University’s procurement activities on a periodic basis.

As stated above, currently procurement card data is integrated into the PeopleSoft financial system from the University’s procurement card bank provider. Users approve and allocate the charges within a PeopleSoft module that then charges the appropriate budget line in the financials. However, the purchasing data is not well captured for reporting purposes. Although it is the intention that much of the procurement card activity will no longer be necessary given the implementation of the solution, the University would like to explore any available options to allow this information to be captured in the solution instead of just in PeopleSoft.

7. Technical Requirements
UMBC will require the technical requirements listed below. Each S2S user will have assigned roles and permissions, which will determine the level of access and actions when using the solution.

- Allow users to upload emails or attachments from the email client (i.e., Gmail) into the system;
- Support and provide access to the system from multiple web browsers including: Chrome, Safari, and Internet Explorer;
- Support more than 300 concurrent users, with no noticeable delay in performance;
- Comply with Secure Socket Layer (SSL) protocol, minimum of TLS 1.0 (Transport Layer Security), for transmitting data across the internet;
- Support Federal Information Processing Standards (FIPS 140-2) for IT Encryption;
- Provide the capability for a near real-time and batch interface with PeopleSoft 9.2;
- Allow the configuration of user profiles and user groups, as well as the configuration of different types of alerts, notifications and reminders;
- Ability to audit all changes made to any system configuration data;
- Allow users with the appropriate permissions to view audit logs; and
- Track and report common metrics, such as the number of purchase orders issued.

8. Anticipated Implementation Schedule and Phase Description
The functional areas that will need to use the S2S span the entire campus including Procurement, Financial Services, academic and administrative units, Management Advisory Services, and the Office of General Counsel. The initial focus of the project will be on implementing the procure to pay modules, as well as contracts and analytics. It is expected that after the initial implementation of the system, that UMBC will be left self-sufficient and require minimal professional services from outside consultants as the product is deployed to additional UMBC users. Therefore, knowledge transfer to UMBC technical and functional staff is of utmost importance. It is essential that the system purchased is scalable and has the inherent capacity to support all components mentioned, whether or not said component is initially purchased.
SECTION II
PROCUREMENT PHASES AND EVALUATION PROCESS

1. Issuing Office.
The Issuing Office is:
University of Maryland, Baltimore County
1000 Hilltop Road
Administration Building – 7th Floor
Baltimore, MD 21250

Attn: Elizabeth Moss
Executive Director of Procurement & Strategic Sourcing
E-mail: emoss@umbc.edu

The Issuing Office shall be the sole point of contact with the University for purposes of the preparation and submittal of proposals in response to this solicitation.

2. Questions and Inquiries.
All questions and inquiries regarding this procurement are to be sent by email to the Executive Director of Procurement and Strategic Sourcing. All such questions and inquiries must be received by the dates established for each phase of the procurement as stated in the Solicitation Schedule. Questions will receive a written reply. Copies of replies will be sent to all other Offerors, but without identification of the inquirer.

3. Pre-Proposal Conference.
A Pre-Proposal Conference will not be held in conjunction with this procurement.

Offerors responding to this RFP must acknowledge the receipt of any, and all, addenda, amendments, and changes issued. Receipt of the addenda, amendments, and changes issued must be acknowledged in writing by Offerors on the Acknowledgement of Addenda Form.

5. Proposal Closing Date/Due Date and Time.
Initial Phase Technical Proposal: The Initial Phase Technical Proposal is to be provided to the Issuing Office in accordance with the Solicitation Schedule. Initial Technical Proposals are to be submitted electronically to the box address listed on the solicitation schedule.
By providing to UMBC the Initial Technical Proposal electronically, the Proposer grants the University the unlimited right to generate additional electronic and paper copies for distribution solely for the purpose of evaluation and review.
LATE PROPOSALS WILL NOT BE ACCEPTED. The time on the uploaded document in Box from the Proposer will be used to determine timeliness. Subsequent submittals shall be due as stated in the Solicitation Schedule.

Minority participation is important to UMBC and the State of Maryland. State-certified Minority Business Enterprises (MBE) are encouraged to respond to this solicitation notice. If not certified by the Maryland Department of Transportation (MDOT), MBEs are encouraged to initiate certification as soon as possible. For more information on the State’s MBE program or questions related to certification, please contact MDOT’s Office of Minority Business Enterprise/Equal Opportunity, telephone 800-544-6056 or view the MDOT website http://www.mdot.state.md.us/MBE/index.html.

7. Contractual Agreement.
The Contract to be entered into as a result of this RFP (the “Contract” or “Agreement”) shall be by and between the proposer as Vendor/Contractor and UMBC in the form of an University Contract and shall contain the mandatory provisions included herein in Appendix B as well as any additional terms required by UMBC or the State of Maryland. By submitting an Offer, the Vendor/Contractor warrants that they have reviewed Appendix D and will execute a contract a) in substantially the same form and b) with these mandatory terms and conditions upon request by UMBC. The awarded Contractors should not assume that any term and condition of the Contract is negotiable.

The terms and conditions of the Contract shall apply to all products and services provided. For accounting purposes only, UMBC will also issue a purchase order to the awarded Contractor for products provided and services done under the Contract.

8. Term of Contract.
Any contract arising from this RFP action shall commence on the date the contract is executed on behalf of UMBC, or such other date as UMBC and the Contractor shall agree. The term of the contract ("Initial Term") will be for a period of three (3) years. Following the Initial Term of the contract, at the sole discretion of UMBC, there will be an option to renew for two (2) additional three (3) year terms.

In addition, UMBC at its sole option may discontinue the solution, in whole or in part at any time during the Initial Term or Renewal Terms with 60 days notice with no further obligations to the Contractor and with no penalty.

Upon termination of the contract, either at the end of the term or earlier, the Contractor shall take all reasonable and prudent measures to facilitate transition to the University or a successive provider, to the extent required by UMBC. The Contractor shall not be paid the final invoice until the completion of the successful invoice.
The Initial Technical Proposal, Second Phase Technical Proposal, any supplemental information
that may be received from the Proposer during the procurement, and Price Proposal is considered
by UMBC to be an Offer from the Proposer. By submitting an Offer, in response to this RFP, a
Proposer/Offeror shall be deemed to have substantially accepted all the terms, conditions, and
requirements set forth in this RFP. The RFP including all addenda in total shall be incorporated
into the contract by reference.

10. Confidentiality of University’s and Proposers’ Information.
Refer to Section 11 of Appendix C. Please note that as stated in Section 11, a blanket statement
by a Proposer that its entire proposal is confidential or proprietary will not be upheld by UMBC.

11. Intergovernmental Cooperative Purchasing.
The University reserves the right to extend the terms, conditions, and prices of the contract
awarded pursuant to this solicitation to other institutions of the University System of Maryland,
and to any and all public bodies, subdivisions, school districts, community colleges, colleges, and
universities. This is conditioned upon mutual agreement of all parties. Each such entity will issue
its own purchasing documents. UMBC assumes no authority, liability, or obligation on behalf of
any other entity that may use any contract resulting from this solicitation.
PROCUREMENT PHASES AND PROPOSAL REQUIREMENTS

PHASE I - INITIAL TECHNICAL PROPOSAL

1. **Initial Technical Proposal:** Refer to the Solicitation Schedule for the due date for the Initial Technical Proposal (Tech Proposal-1). The Initial Technical Proposal, including any clarifications, amendments, modifications, etc. to the Initial Technical Proposal will be considered by UMBC as an Offer from the Proposing Firm.

The Initial Technical Proposal should be prepared in a clear and concise manner utilizing the Technical Proposal – Phase I Questionnaire form, which is packaged separately from this document. The purpose of this initial technical proposal is to provide a complete overview of the firm’s qualifications, the general functionality and modules of the solution, and integration with University systems. Additionally, proposers must include the appropriate completed forms as indicated below. Failure to provide any of the information requested or include any of the items listed may result in the Initial Technical Proposal being found non-responsive or impact the evaluation of your firm’s Initial Technical Proposal response.

The Initial Technical Proposal submitted in response to this Solicitation must demonstrate that the Proposer has sufficient expertise and experience to meet UMBC’s requirements as well as an understanding of the project scope and objectives. It is the Contractor’s responsibility to tailor its response to demonstrate its expertise and qualifications to meet the Source to Settle solution’s objectives and perform the scope of work specifically for UMBC rather than providing a ‘cookie cutter/template’ response.

*For ease of use by UMBC, Proposers are asked to paginate the Initial Technical Proposal. A Transmittal/Cover Letter that is* prepared on the Proposer's business stationery must accompany the proposal. The purpose of this letter is to transmit the proposal; therefore, it should be brief, but shall list all necessary contact information for the proposing firm, including the contact’s telephone number and email address. The letter must be signed by an appropriate person of the Proposing Firm

*In addition to the Technical Proposal Questionnaire form, Proposers are to provide the following additional forms:*

- **Bid/Proposal Affidavit – Form:** State and USM Procurement Regulations require that each proposal submitted by a firm include a signed Proposal Affidavit. A copy of this Proposal Affidavit is included in Appendix A.

- **Acknowledgement of Receipt Of Addenda Form:** If any addenda to the solicitation documents are issued prior to the due date and time for Proposal-1, this form (found in Appendix A) is to be completed, signed, and included in the Proposing Contractor’s Tech Proposal-1.
2. Evaluation of the Initial Technical Proposal:
The intent of this Solicitation is to provide S2S Solution providers an opportunity to present their qualifications and experience to providing the scope of services in relation to the needs of UMBC. The manner in which the proposing team presents their qualifications will be regarded as an indication of how well the Proposer’s philosophy, approach, organizational culture, working style and communications style fit with the University’s. Submittals that concisely present the information requested in the order and the manner requested will be considered more favorably than a submittal from a Proposer of commensurate qualifications that displays a lack of organization, conciseness, or attention to detail.

An Evaluation and Selection Committee (“the Committee”) will be established by the University. The evaluation process will be facilitated by the UMBC Procurement Officer. As the procurement progresses, the Committee may seek input from other appropriate UMBC staff on the proposed services. As well, the Committee may request additional assistance from any source at any time during the procurement.

The Procurement Officer shall first review each proposal for compliance with the mandatory requirements of this RFP. Failure to comply with any mandatory requirement will normally disqualify a contractor’s proposal. The University reserves the right to waive a mandatory requirement when it is in its best interest to do so. The Contractor must assume responsibility for addressing all necessary technical and operational issues in meeting the objectives of the RFP. Proposals cannot be modified, supplemented, cured, or changed in any way after the due date and time for technical proposals, unless specifically requested by the University.

After compliance with the mandatory requirements in this RFP has been determined, the Committee shall conduct its evaluation of the technical merit of the initial technical proposals in accordance with the Evaluation Criteria. The process involves applying the evaluation criteria contained in the RFP and determining those proposals that are susceptible of the award. The Committee may shortlist based on the evaluation process. The decision for continuation in the procurement process (or further shortlists) will be made by the strengths, weaknesses, advantages, and deficiencies of the initial technical proposal.

The criteria that will be used by the committee for the initial technical evaluation of the proposals for this specific procurement are listed in the Technical Proposal – Phase I Questionnaire. Each committee member will evaluate the proposals on each major criterion. The order of importance of the technical criteria in the initial technical evaluation is as follows:

1) Source to Settle System Components
2) Evidence/Experience of Providing Products and Services
3) Company Profile
4) Implementation Process

Initial Technical Proposals evaluated by UMBC to be viable and of further interest (i.e., “shortlisted”) will progress to the next phase of the procurement - Demonstrations of S2S Solution. All Proposers who submit an Initial Technical Proposal to UMBC will be notified as to whether or not they are shortlisted.
PHASE II - DEMONSTRATIONS OF PROPOSED SOURCE TO SETTLE SOLUTION

All shortlisted firms following the Initial Technical Evaluation will then be required to provide a demonstration of the proposed Source to Settle Solution. UMBC will schedule the demonstration sessions with the shortlisted firms. Refer to the Solicitation Schedule for the anticipated dates for such scheduling. Demonstrations will be scheduled at UMBC’s sole convenience. The actual date and time will be verified with the applicable Proposers at the time the demonstration is requested. Proposers are advised to set these dates aside so as to avoid any conflict.

The demonstrations are to consist of a system overview and feature highlights of the offered product and system, including a brief presentation of all modular components of the system. It is anticipated that each Proposer will have a total time of ninety (90) minutes for the demonstration session. Of this time, sixty (60) minutes are reserved for the demonstration and a minimum of thirty (30) minutes are reserved for Questions and Answers. The format of the demonstrations will be provided with the written request to conduct the demonstration.

The Proposer may spend a few minutes (no more than five minutes is recommended) to present a brief history of the company including similar experience and similar implementations of the proposed product. However, the University is not interested in a marketing presentation (i.e. sales), rather, it will be a casual and informal format with the focus to be on the demonstration of the proposed products.

Proposed S2S solutions evaluated by UMBC to be viable and of further interest (i.e., “shortlisted”) will progress to the next phase of the procurement – Second Technical Proposal. All Proposers who demonstrate their product to UMBC will be notified as to whether or not they remain shortlisted.
PHASE III - SECOND TECHNICAL PROPOSAL

1. All shortlisted firms following the demonstration sessions will then be invited, at no cost to the University, to submit a more detailed Second Technical Proposal (Tech Proposal-2).

2. **Second Technical Proposal Requirements.** All shortlisted firms following the Demonstration Sessions will be required to submit a Tech Proposal-2 to UMBC in accordance with the Solicitation Schedule. Tech Proposal-2 will be considered by UMBC as an Offer from the Proposing Firm. The purpose of this Tech Proposal-2 is to modify, enhance, and supplement the firm’s Initial Technical Proposal to further convey the Proposer’s expertise and qualifications to provide the required services.

Clear, concise, yet detailed responses to the technical criteria are to be provided in the technical proposal. The purpose of this response is to display full comprehension of the products and services to be provided and how such services will be provided to the University. Marketing material may be provided, but is to be included as an appendix rather than within the body of the proposal. Offerors must paginate the Second Technical Proposal and are requested to provide tabs to separate responses to each of the technical criteria. The Tech Proposal-2 is to address in more detail and specificity the following topics:

2.1 **Executive Overview.** The proposal must begin with an Executive Overview. This summary is for introductory purposes and will not be considered as part of the evaluation process. Proposers are requested to compile their proposals in the same order as listed below.

2.2 **Source to Settle Solution.** Provide a narrative description as well as answer the specific questions in the Questionnaire – Tech Proposal 2 regarding the S2S Solution that is being proposed to meet the University’s needs. *(The Questionnaire will be issued by addendum to those proposers who are shortlisted following the demonstration phase of the procurement.)* Proposers are to describe the proposed product’s functionalities and technical requirements and how the product meets the University’s specifications and requirements. *Note: A SOC 2, Type 2 or equivalent may be requested as part of the Tech Proposal-2 response.*

2.3 **Approach to Providing the Scope of Services and Staffing of the Engagement.** The Proposing Firm is to explain its methodology in implementing and supporting the product for the University including how it staffs both the implementation and the ongoing technical support of the product. A description of the implementation methodology proposed should be included. This description may take the form of text and graphics, but must describe the methodology approach or philosophy, identify the major components of the methodology, and describe the process by which client and firm agree that methodology milestones have been achieved. Provide a high level schedule including the high level tasks and milestones recommended for the implementation of the S2S System. Provide the assumptions that have been made regarding the project inclusive, but not limited to, UMBC resources required. Included within the description
shall be the allocation of responsibility for supplier onboarding for catalogs and electronic invoicing. The purpose of this response is to display full comprehension of the services to be provided and how such services will be provided to the University.

2.4 **Key Personnel:** The Proposer shall provide complete resumes for the following Key Personnel that are proposed to be assigned to UMBC:

- Executive Manager
- Account Relationship Manager
- Project Manager
- Lead Technical Engineer
- Lead Functional Engineer
- Business Analyst
- Lead QA Manager
- Organizational Change Management Lead (inclusive of knowledge transfer and end user training)

The resume is to include educational and employment background, informative description of similar S2S experience in the same or closely related role that will be provided to UMBC, and a minimum of three (3) client references (contact name, company name, email address, and phone number including extension number) where the person has been assigned in the same capacity.

By providing these proposed Key Personnel for UMBC’s consideration and evaluation, Contractor is committing that if it is awarded the contract that these people will be assigned to UMBC. The successful contractor cannot replace any key personnel role without prior authorization in writing from UMBC.

2.5 **Pricing Model:** Without providing an exact quote, Proposers are to provide a narrative of the various pricing options available for the proposed product (such as “per user”, “per catalog”, “site license”, etc.) and associated services (implementation fees, maintenance and support, training, professional services, data migration, quality assurance testing, etc.)

2.6 **Non-Visual Access.** Proposers shall expressly warrant that the information technology offered under this solicitation (1) provides equivalent access for effective use by both visual and nonvisual means; (2) will present information, including prompts used for interactive communications, in formats intended for both visual and nonvisual use; (3) if intended for use in a network, can be integrated into networks for obtaining, retrieving, and disseminating information used by individuals who are not blind or visually impaired; and (4) is available, whenever possible, without modification for compatibility with software and hardware for nonvisual access. The Offeror shall further warrant that the costs, if any, of modifying the information technology for compatibility with software and hardware used for nonvisual access will not increase the cost of the information technology by more than 5 percent.
For purposes of this section, the phrase 'equivalent access' means the ability to receive, use, and manipulate information and operate controls necessary to access and use information technology by nonvisual means. Examples of equivalent access include keyboard controls used for input and synthesized speech, Braille, or other audible or tactile means used for output.

2.7 **Firm Experience/References:**

Provide client references for the solution. Higher consideration will be given in the evaluation for S2S relationships that are most similar/relevant to UMBC’s in size, scope and complexity.

The following information for each of the contracts is to be provided:

a. Client name, address, email address, contact person and telephone number including area code and extension of contact person;

b. An informative description of the S2S products and services provided to the client, including clear indication of fully implemented modules;

c. Implementation date for client engagement delineated by module, including any additional modules that have been added since the initial implementation of the solution and any modules that have been terminated;

d. Contractor personnel assigned to the engagement, including employment titles, and engagement roles;

e. The type of ERP and single sign-on software that the client uses and whether the S2S is integrated with these systems;

f. The contract structure and annual dollar volume for the contract; and

g. Similarities to the UMBC contract as described in this RFP.

The University intends to check references of the above contracts and those of the Key Personnel only of the final shortlisted Proposers. The University reserves the right to verify all information given if it so chooses, as well as, to check any other sources available, including past performance with UMBC, even if not provided as a reference by the Proposer. As well, the University reserves the right to conduct site visits to current clients using the proposed product.

It is imperative that the contact names, phone numbers, and email addresses provided for the clients are accurate. References will be held in the strictest of confidence by the University. Such references are to be from different contracts; that is, only one reference per contract is allowed.

3. **Evaluation of the Second Technical Proposals**

Following the receipt of the Second Technical Proposals, UMBC will conduct an evaluation of the Second Technical Proposals, and may, at its sole discretion, further shortlist. All Tech Proposal-2 received from the shortlisted Proposers will be reviewed and evaluated by the UMBC Evaluation and Selection Committee. If no Tech Proposal-2 is received by UMBC, the Proposer will not be considered further for the award.
As with the initial phase of the procurement and the demonstrations, the intent of this second phase is to provide shortlisted Source to Settle Solutions providers an opportunity to further present their qualifications and experience to providing the scope of services in relation to the needs of UMBC. The manner in which the proposing team presents their qualifications will be regarded as an indication of how well the Proposer’s philosophy, approach, organizational culture, working style and communications style fit with the University’s. Submittals that concisely present the information requested in the order and the manner requested will be considered more favorably than a submittal from a Proposer of commensurate qualifications that displays a lack of organization, conciseness or attention to detail.

The Proposer must assume responsibility for addressing all necessary technical and operational issues in meeting the objectives of the RFP. Technical Proposal-2 cannot be modified, supplemented, cured, or changed in any way after the due date and time for Technical Proposal-2, unless specifically requested by the University.

Following the review and evaluation of the Tech Proposal-2, the Committee shall conduct its evaluation of the technical merit of the proposals based on the Initial Technical Proposal, the Demonstrations, and the Tech Proposal-2 in accordance with the evaluation criteria. In this evaluation all categories will be re-evaluated.

The order of importance of the technical evaluation criteria will be:

1. Source to Settle Solution
2. Approach to Providing the Scope of Services and Staffing of the Engagement
3. Evidence/Experience of Providing Similar Products and Services to Similar Clients
4. Company Profile and Key Personnel experience

Further shortlists may result as the procurement progresses. At each phase of the process, those firms that do not remain shortlisted will not progress in the procurement. As the procurement progresses and as results of the technical evaluation are determined by UMBC, all proposers will be notified as to the results of the technical evaluation of the firm's technical proposal. Once a further shortlist of proposals is established, the University may proceed to either the Oral Presentation/Technical Discussion Sessions or the Price Proposal phase based on the University’s determination of the need for additional technical information.
PHASE IV -
ORAL PRESENTATIONS
TECHNICAL INFORMATION AND SYSTEM ADMINISTRATION DISCUSSIONS
FINAL TECHNICAL EVALUATION

1. Oral Presentation/Technical Information and System Administration Discussion Sessions: (“Discussion Sessions”)

The University intends to invite, without cost to itself, only those short listed firms following the evaluation of the Technical Proposals-2 to make a presentation of their proposal and to demonstrate their capabilities and expertise in the specific products and services offered by the Proposer as a further consideration in the selection process. Only those Proposers who are shortlisted following the evaluation of the Technical Proposal-2 shall be offered the opportunity to participate in these Discussion Sessions.

The date and time of the Discussion Sessions will be set by the University upon completion of the initial technical evaluation; however, these sessions are anticipated to be held per the Solicitation Schedule. Proposers are advised to set these dates aside in their entirety on the calendars of key personnel accordingly to avoid any conflicts. The actual time on one of these dates will be scheduled with the applicable Proposers at the convenience of UMBC at its sole discretion. Due to scheduling logistics, Proposers will not be able to select a date or time for these sessions.

The purposes of the Discussion Sessions are as follows:

- to allow the University to meet the Proposer's key people and to allow these key people to convey their expertise and applicable S2S experience by actively participating in the Discussion Session so that their S2S expertise in the role they will provide to achieve UMBC’s scope and objectives is demonstrated;
- to discuss/clarify any and all aspects of the Proposal in particular the proposed S2S solution, methodologies to achieve the scope of services, the project team organization, and the anticipated schedule and level of effort;
- to provide an opportunity for UMBC’s technical engineers to explore the various technical systems and accesses required to address integrations with campus systems;
- to provide an opportunity for UMBC’s functional users to explore the various features and capabilities of the proposed S2S; and,
- to provide an opportunity to clarify the scope of services for this contract.

Separate Discussion Sessions on the same date may be scheduled to facilitate the various purposes and groups. For example, the technical staff from each party may meet separately from the functional staff. The Discussion Session forums will be informal as the University is not interested in solely a marketing presentation; rather, the University is requesting a discussion
session with each of the shortlisted firms that allows ample time for the University and the Proposing Firm to ask questions and discuss issues/concerns related to the scope of the engagement and the firm’s capabilities/qualifications.

2. Final Technical Evaluation:
Following the Discussion Sessions, the Committee shall again conduct its evaluation of the technical merit of the proposals based on the Initial Technical Proposal, the Demonstrations, the Tech Proposal-2, and the Discussion Sessions in accordance with the evaluation criteria. Feedback will also be obtained by the Evaluation and Selection Committee from the UMBC participants in attendance at the discussion sessions as to the viability and interest of the proposed Source to Settle solution. This feedback will be factored into the evaluation. In the Final Technical Evaluation all categories will again be re-evaluated.

The order of importance of the technical evaluation criteria will be:

1. Source to Settle Solution
2. Approach to Providing the Scope of Services and Staffing of the Engagement
3. Evidence/Experience of Providing Products and Services
4. Firm profile

UMBC intends, but is not required, to incorporate references of the firm and the proposed Key People during the Final Technical Evaluation; however, UMBC reserves the right to further shortlist or to progress to the Price Proposal Phase prior to checking or incorporating such references if deemed in its best interest. Checking of references, if any, of the firms and its proposed Key Personnel is solely at UMBC’s option. UMBC may also conduct site visits to relevant client reference sites.

Further shortlists may result as the procurement progresses. At each phase of the process, those firms that do not remain shortlisted will not progress in the procurement. As the procurement progresses and as results of the technical evaluation are determined by UMBC, all proposers will be notified as to whether or not the firm's technical proposal remains shortlisted.
PHASE V - PRICE PROPOSAL/PRICE EVALUATION

1. Price Proposal
Price Proposals will be requested only from those Proposers whose technical proposals are shortlisted following the completion of the final technical evaluation.* An addendum will be issued at the time in which Price Proposals are requested to confirm the due date and time as well as to provide instructions on the content and delivery instructions of the Price Proposals. The due date and time for price proposals is anticipated to be per the Solicitation Schedule.

It is the intent of the University that the Price Proposal will consist of quoting a fixed fee for:

1) License fees for the product;
2) Implementation fees and other professional services;
3) Maintenance and Support of the product; and,
4) Fully loaded Hourly rates for the assigned personnel (in the event change orders or additional services are requested)

It is expected that as the procurement advances, the particulars of the required price proposal format will evolve. The Price Proposal form will be provided by addendum to only those Proposers whose technical proposals are shortlisted upon completion of the technical evaluation.

Hourly rates will be requested of the assigned personnel and other professional services positions. These quoted hourly rates must be good for the entire implementation.

2. Reimbursables
There are no reimbursables associated with this contract. All expenses, including domestic travel, are to be included in the firm’s price for the implementation as well as in the hourly rates of the assigned personnel.

*Note: UMBC reserves the right to request Price Proposals prior to the completion of the Technical Evaluation. If UMBC elects to do so, concurrent and separate from the Second Technical Evaluation, an evaluation of the Contractor’s Price Proposal will be conducted by a Price Evaluation Committee, separate from the Technical Evaluation Committee, established by the Procurement Officer.

Discussions may be held, at the discretion of UMBC, with each of the applicable Contractors and the Price Evaluation Committee if deemed in UMBC’s best interest.

Price Proposals are anticipated to be evaluated based on the total cost for the initial term for the solution. Price Proposals will include, but not be limited to, quoted licensing fees for all S2S solutions/products, including maintenance and support; hosting fees; and all required professional services. The University may elect to request Best & Final Price Proposals.
FINAL EVALUATION/RANKING AND SELECTION

1. Discussions
The University reserves the right to recommend an Offeror for contract award based upon the Offeror's technical proposal and price proposal without further discussion. However, should the Committee find that further discussion would benefit the University and the State, the Committee shall recommend such discussions to the Procurement Officer. Should the Procurement Officer determine that further discussion would be in the best interest of the University and the State, the Procurement Officer shall establish procedures and schedules for conducting discussions and will notify responsible Offerors.

2. Best and Final Offers
When in the best interest of the University and the State, the Committee may recommend and the Procurement Officer may permit qualified Offerors to revise their proposals by submitting "Best and Final" offers.

3. Final Ranking and Selection
Following evaluation of the technical proposals and the price proposals, the Evaluation and Selection Committee will make an initial overall ranking of the proposals and recommend to the Procurement Officer the award of the contract to the responsible Offeror whose proposal is determined to be the most advantageous to the University and the State of Maryland based on the results of the final technical and financial evaluation in accordance with the University System of Maryland Procurement Policies and Procedures. Technical merit will have a greater weight than financial in the final ranking.

Award may be made to the proposal with a higher technical ranking even if its cost proposal is not the lowest. The decision of the award of the contract will be made at the discretion of the Procurement Officer and will depend on the facts and circumstances of the procurement. The Procurement Officer retains the discretion to examine all factors to determine the award of the contract. The goal is to contract with the Contractor that provides the best overall value to the University.

The University may select one or more Contractors to further engage in negotiations, including terms of a contract and other issues to be incorporated into the contract. The University reserves the right to make an award with or without negotiations.
APPENDIX A

INITIAL TECHNICAL PROPOSAL FORMS

1. Bid/Proposal Affidavit
2. Acknowledgement of Receipt of Addenda Form
BID/PROPOSAL AFFIDAVIT

A. Authority

I HEREBY AFFIRM THAT:

I (print name)_____________________ possess the legal authority to make this Affidavit.

B. CERTIFICATION REGARDING COMMERICAL NONDISCRIMINATION

The undersigned bidder hereby certifies and agrees that the following information is correct: In preparing its bid on this project, the bidder has considered all proposals submitted from qualified, potential subcontractors and suppliers, and has not engaged in "discrimination" as defined in §19-103 of the State Finance and Procurement Article of the Annotated Code of Maryland. "Discrimination" means any disadvantage, difference, distinction, or preference in the solicitation, selection, hiring, or commercial treatment of a vendor, subcontractor, or commercial customer on the basis of race, color, religion, ancestry, or national origin, sex, age, marital status, sexual orientation, sexual identity, genetic information or an individual’s refusal to submit to a genetic test or make available the results of a genetic test, disability, or any otherwise unlawful use of characteristics regarding the vendor’s, supplier’s, or commercial customer’s employees or owners. “Discrimination” also includes retaliating against any person or other entity for reporting any incident of “discrimination”. Without limiting any other provision of the solicitation on this project, it is understood that, if the certification is false, such false certification constitutes grounds for the State to reject the bid submitted by the bidder on this project, and terminate any contract awarded based on the bid. As part of its bid or proposal, the bidder herewith submits a list of all instances within the past 4 years where there has been a final adjudicated determination in a legal or administrative proceeding in the State of Maryland that the bidder discriminated against subcontractors, vendors, suppliers, or commercial customers, and a description of the status or resolution of that determination, including any remedial action taken. Bidder agrees to comply in all respects with the State’s Commercial Nondiscrimination Policy as described under Title 19 of the State Finance and Procurement Article of the Annotated Code of Maryland.


The undersigned bidder hereby certifies and agrees that it has fully complied with the State Minority Business Enterprise Law, State Finance and Procurement Article, §14-605, Annotated Code of Maryland, which provides that, except as otherwise provided by law, a contractor may not identify a certified minority business enterprise in a bid or proposal and:

(1) Fail to request, receive, or otherwise obtain authorization from the certified minority business enterprise to identify the certified minority proposal;
(2) Fail to notify the certified minority business enterprise before execution of the contract of its inclusion in the bid or proposal;
(3) Fail to use the certified minority business enterprise in the performance of the contract; or
(4) Pay the certified minority business enterprise solely for the use of its name in the bid or proposal.

Without limiting any other provision of the solicitation on this project, it is understood that if the certification is false, such false certification constitutes grounds for the State to reject the bid submitted by the bidder on this project, and terminate any contract awarded based on the bid.


The undersigned bidder hereby certifies and agrees that it has fully complied with the State veteran-owned small business enterprise law, State Finance and Procurement Article, §14-308(a)(2), Annotated Code of Maryland, which provides that, except as otherwise provided by law, a contractor may not identify a certified minority business enterprise in a bid or proposal and:

(1) Knowingly and with intent to defraud, fraudulently obtain, attempt to obtain, or aid another person in fraudulently obtaining or attempting to obtain public money, procurement contracts, or funds expended under a procurement contract to which the person is not entitled under this title;
(2) Knowingly and with intent to defraud, fraudulently represent participation of a veteran–owned small business enterprise in order to obtain or retain a bid preference or a procurement contract;
(3) Willfully and knowingly make or subscribe to any statement, declaration, or other document that is fraudulent or false as to any material matter, regardless of whether that falsity or fraud is committed with the knowledge or consent of the person authorized or required to present the declaration, statement, or document;
(4) Willfully and knowingly aid, assist in, procure, counsel, or advise the preparation or presentation of a declaration, statement, or other document that is fraudulent or false as to any material matter, regardless of whether that falsity or fraud is committed with the knowledge or consent of the person authorized or required to present the declaration, statement, or document;
(5) Willfully and knowingly fail to file any declaration or notice with the unit that is required by COMAR 21.11.12; or
(6) Establish, knowingly aid in the establishment of, or exercise control over a business found to have violated a provision of §B-2(1) — (5) of this regulation.

C. AFFIRMATION REGARDING BRIBERY CONVICTIONS

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business (as is defined in Section 16-101(b) of the State Finance and Procurement Article of the Annotated Code of Maryland), or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities including obtaining or performing contracts with public bodies has been convicted of, or has had probation before judgment imposed pursuant to Criminal Procedure Article, §6-220, Annotated Code of Maryland, or has pleaded nolo contendere to a charge of, bribery, attempted bribery, or conspiracy to bribe in violation of Maryland law, or of the law of any other state or federal law, except as follows (indicate the reasons why the affirmation cannot be given and list any conviction, plea, or imposition of probation before judgment with the date, court, official or administrative body, the sentence or disposition, the names of persons involved, and their current positions and responsibilities with the business):
D. AFFIRMATION REGARDING OTHER CONVICTIONS

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities including obtaining or performing contracts with public bodies, has:

(1) Been convicted under state or federal statute of:
   (a) A criminal offense incident to obtaining, attempting to obtain, or performing a public or private contract; or
   (b) Fraud, embezzlement, theft, forgery, falsification or destruction of records or receiving stolen property;
(2) Been convicted of any criminal violation of a state or federal antitrust statute;
(3) Been convicted under the provisions of Title 18 of the United States Code for violation of the Racketeer Influenced and Corrupt Organization Act, 18 U.S.C. §1961 et seq., or the Mail Fraud Act, 18 U.S.C. §1341 et seq., for acts in connection with the submission of bids or proposals for a public or private contract;
(4) Been convicted of a violation of the State Minority Business Enterprise Law, §14-308 of the State Finance and Procurement Article of the Annotated Code of Maryland;
(5) Been convicted of a violation of §11-205.1 of the State Finance and Procurement Article of the Annotated Code of Maryland;
(6) Been convicted of conspiracy to commit any act or omission that would constitute grounds for conviction or liability under any law or statute described in subsections (1)—(5) above;
(7) Been found civilly liable under a state or federal antitrust statute for acts or omissions in connection with the submission of bids or proposals for a public or private contract;
(8) Been found in a final adjudicated decision to have violated the Commercial Nondiscrimination Policy under Title 19 of the State Finance and Procurement Article of the Annotated Code of Maryland with regard to a public or private contract;
(9) Been convicted of a violation of one or more of the following provisions of the Internal Revenue Code:
   (a) §7201, Attempt to Evade or Defeat Tax;
   (b) §7203, Willful Failure to File Return, Supply Information, or Pay Tax,
   (c) §7205, Fraudulent Withholding Exemption Certificate or Failure to Supply Information,
   (d) §7206, Fraud and False Statements, or
   (e) §7207 Fraudulent Returns, Statements, or Other Documents;
(11) Been convicted of a violation of the Tax-General Article, Title 13, Subtitle 7 or Subtitle 10, Annotated Code of Maryland;
(12) Been found to have willfully or knowingly violated State Prevailing Wage Laws as provided in the State Finance and Procurement Article, Title 17, Subtitle 2, Annotated Code of Maryland, if:
   (a) A court:
      (i) Made the finding; and
      (ii) Decision became final; or
   (b) The finding was:
      (i) Made in a contested case under the Maryland Administrative Procedure Act; and
      (ii) Not overturned on judicial review;
(13) Been found to have willfully or knowingly violated State Living Wage Laws as provided in the State Finance and Procurement Article, Title 18, Annotated Code of Maryland, if:
   (a) A court:
      (i) Made the finding; and
      (ii) Decision became final; or
   (b) The finding was:
      (i) Made in a contested case under the Maryland Administrative Procedure Act; and
      (ii) Not overturned on judicial review;
(14) Been found to have willfully or knowingly violated the Labor and Employment Article, Title 3, Subtitles 3, 4, or 5, or Title 5, Annotated Code of Maryland, if:
   (a) A court:
      (i) Made the finding; and
      (ii) Decision became final; or
   (b) The finding was:
      (i) Made in a contested case under the Maryland Administrative Procedure Act; and
      (ii) Not overturned on judicial review; or
(15) Admitted in writing or under oath, during the course of an official investigation or other proceedings, acts or omissions that would constitute grounds for conviction or liability under any law or statute described in §§B and C and subsections D(1)—(14) of this regulation, except as follows (indicate reasons why the affirmations cannot be given, and list any conviction, plea, or imposition of probation before judgment with the date, court, official or administrative body, the sentence or disposition, the name(s) of the person(s) involved and their current positions and responsibilities with the business, and the status of any debarment):

E. AFFIRMATION REGARDING DEBARMENT

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities, including obtaining or performing contracts with public bodies, has ever been suspended or debarred (including being issued a limited denial of participation) by any public entity, except as follows (list each debarment or suspension providing the dates of the suspension or debarment, the name of the public entity and the status of the
proceedings, the names of the persons involved and their current positions and responsibilities with the business, the grounds of the debarment or suspension, and the details of each person's involvement in any activity that formed the grounds of the debarment or suspension).

F. AFFIRMATION REGARDING DEBARMENT OF RELATED ENTITIES

I FURTHER AFFIRM THAT:

(1) The business was not established and it does not operate in a manner designed to evade the application of or defeat the purpose of debarment pursuant to Sections 16-101, et seq., of the State Finance and Procurement Article of the Annotated Code of Maryland; and
(2) The business is not a successor, assignee, subsidiary, or affiliate of a suspended or debarred business, except as follows (you must indicate the reasons why the affirmations cannot be given without qualification):

G. SUB-CONTRACT AFFIRMATION

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, has knowingly entered into a contract with a public body under which a person debarred or suspended under Title 16 of the State Finance and Procurement Article of the Annotated Code of Maryland will provide, directly or indirectly, supplies, services, architectural services, construction related services, leases of real property, or construction.

H. AFFIRMATION REGARDING COLLUSION

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business has:
(1) Agreed, conspired, connived, or colluded to produce a deceptive show of competition in the compilation of the accompanying bid or offer that is being submitted;
(2) In any manner, directly or indirectly, entered into any agreement of any kind to fix the bid price or price proposal of the bidder or offeror or of any competitor, or otherwise taken any action in restraint of free competitive bidding in connection with the contract for which the accompanying bid or offer is submitted.

I. CERTIFICATION OF TAX PAYMENT

I FURTHER AFFIRM THAT: Except as validly contested, the business has paid, or has arranged for payment of, all taxes due the State of Maryland and has filed all required returns and reports with the Comptroller of the Treasury, the State Department of Assessments and Taxation, and the Department of Labor, Licensing, and Regulation, as applicable, and will have paid all withholding taxes due the State of Maryland prior to final settlement.

J. CONTINGENT FEES

I FURTHER AFFIRM THAT:

The business has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee, bona fide agent, bona fide salesperson, or commercial selling agency working for the business, to solicit or secure the Contract, and that the business has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee, bona fide agent, bona fide salesperson, or commercial selling agency, any fee or any other consideration contingent on the making of the Contract.

K. CERTIFICATION REGARDING INVESTMENTS IN IRAN

(1) The undersigned certifies that, in accordance with State Finance and Procurement Article, §17-705, Annotated Code of Maryland:
   (a) It is not identified on the list created by the Board of Public Works as a person engaging in investment activities in Iran as described in State Finance and Procurement Article, §17-702, Annotated Code of Maryland; and
   (b) It is not engaging in investment activities in Iran as described in State Finance and Procurement Article, §17-702, Annotated Code of Maryland.

   2. The undersigned is unable to make the above certification regarding its investment activities in Iran due to the following activities:

L. CONFLICT MINERALS ORIGINATED IN THE DEMOCRATIC REPUBLIC OF CONGO (FOR SUPPLIES AND SERVICES CONTRACTS)

I FURTHER AFFIRM THAT:

The business has complied with the provisions of State Finance and Procurement Article, §14-413, Annotated Code of Maryland governing proper disclosure of certain information regarding conflict minerals originating in the Democratic Republic of Congo or its neighboring countries as required by federal law.
M. I FURTHER AFFIRM THAT:

Any claims of environmental attributes made relating to a product or service included in the bid or proposal are consistent with the Federal Trade Commission’s Guides for the Use of Environmental Marketing Claims as provided in 16 CFR §260, that apply to claims about the environmental attributes of a product, package, or service in connection with the marketing, offering for sale, or sale of such item or service.

N. ACKNOWLEDGEMENT

I ACKNOWLEDGE THAT this Affidavit is to be furnished to the Procurement Officer and may be distributed to units of: (1) the State of Maryland; (2) counties or other subdivisions of the State of Maryland; (3) other states; and (4) the federal government. I further acknowledge that this Affidavit is subject to applicable laws of the United States and the State of Maryland, both criminal and civil, and that nothing in this Affidavit or any contract resulting from the submission of this bid or proposal shall be construed to supersede, amend, modify or waive, on behalf of the State of Maryland, any unit of the State of Maryland having jurisdiction, the exercise of any statutory right or remedy conferred by the Constitution and the laws of Maryland with respect to any misrepresentation made or any violation of the obligations, terms and covenants undertaken by the above business with respect to (1) this Affidavit, (2) the contract, and (3) other Affidavits comprising part of the contract.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date: ______________

By: ________________________________ (print name of Authorized Representative and Affiant)

_________________________________ (signature of Authorized Representative and Affiant)
ACKNOWLEDGEMENT OF RECEIPT OF ADDENDA FORM

RFP NO.: BC-21095-M

INITIAL TECHNICAL PROPOSAL DUE DATE: April 6, 2018 on or before 10:00 am Eastern Time.

RFP FOR: Source to Settle Solution

NAME OF PROPOSER: __________________________________________

ACKNOWLEDGEMENT OF RECEIPT OF ADDENDA

The undersigned, hereby acknowledges the receipt of the following addenda:

Addendum No. ___ dated ________
Addendum No. ___ dated ________
Addendum No. ___ dated ________
Addendum No. ___ dated ________
Addendum No. ___ dated ________
Addendum No. ___ dated ________

As stated in the RFP documents, this form is included in our Initial Technical Proposal.

________________________________________
Signature

________________________________________
Name Printed

________________________________________
Title

________________________________________
Date
APPENDIX B

Contract Forms
Mandatory Terms and Conditions of the Contract

IT Service Provider Agreement

Contract Affidavit
CONTRACT
BETWEEN
THE UNIVERSITY OF MARYLAND, BALTIMORE COUNTY
AND

By this Contract for Services, made as of the ___ day of ____________, 2018, by and between The University of Maryland, Baltimore County, a constituent institution of the University System of Maryland, and instrumentality of the State of Maryland (“UMBC” or “State”), 1000 Hilltop Circle, Baltimore, Maryland 21250, and ____________, located at _________________ (“Contractor”), the parties hereby agree as follows:

1. TERM OF CONTRACT: The term of this Contract shall be from ________________ and expiring ________________ unless otherwise extended, expired, or terminated pursuant to this Contract or as a matter of law. If applicable, there are ______ 12-month renewal options at UMBC’s sole option and discretion.

2. SCOPE OF CONTRACT: The Contractor's obligations and duties under this Contract shall include, but are not limited to, the Work, terms, conditions and specifications contained herein, in the attached Exhibit(s), and any amendments or changes (collectively referred to hereinafter as the “Contract Documents”). These obligations and duties are subject to the unilateral right of UMBC to order, in writing, changes in the work within the scope of the Contract.

3. COMPENSATION, INVOICING AND METHOD OF PAYMENT:

3.1 As compensation for satisfactory performance of the Services and Work described in Paragraph 2, above, UMBC will pay the Contractor as provided in the attached Exhibit.

3.2 Payment will be made in accordance with the terms and conditions set forth in this Contract. Contractor’s fees, including but not limited to software licensing, hosting and professional services, shall not exceed the rates set forth in the Contractor’s Proposal as attached herein as an Exhibit.

3.3 Payments to the Contractor pursuant to this Contract shall be made no later than 30 days after UMBC’s receipt of a proper invoice from the Contractor. Each such invoice must reflect the Contractor's federal tax identification number, which is _________________. Charges for late payment of invoices, other than as prescribed by the Maryland Code, State Finance and Procurement Article, Title 15, Subtitle 1, are prohibited.

3.4 All fees are exclusive of applicable federal, state, local, and foreign sales, use, excise, utility, gross receipts, value added and other taxes, tax-like charges and tax-related surcharges. UMBC is generally exempt from such taxes and Contractor agrees not to charge UMBC for such taxes in accordance with applicable law. UMBC will provide exemption certificates upon request.

4. RESPONSIBILITY OF CONTRACTOR:

4.1 The Contractor shall perform the Work with that standard of care, skill and diligence normally provided by a Contractor in the performance of services similar to the Work hereunder.

4.2 Notwithstanding any review, approval, acceptance, or payment for the Services by UMBC, the Contractor shall be responsible for professional and technical accuracy of the Work furnished by the Contractor under this Contract.

4.3 The Contractor shall perform the Work as described in these Contract Documents. Work shall be performed in accordance with the schedule included in these Contract Documents, or, if such schedule is included, in accordance with a schedule agreed upon in writing by the parties at a future date and adopted as an amendment to these Contract Documents. The Contractor shall perform the Work as expeditiously as is consistent with good professional skill and care and the orderly progress of the Work.

5. SOFTWARE: Contractor hereby represents and warrants that:
5.1 Contractor shall perform all of the Work in a professional manner in accordance with industry standards for software development and Information Technology related services, and that the software development and related services will conform to the specifications in this Contract.

5.2 Contractor shall endorse and enable UMBC's requirement to adhere to the University System of Maryland's (USM) IT Security Standards (found through www.usmd.edu/usm/adminfinance/itcc/ITSecResource.html), as may be amended from time to time. UMBC is required to assess risks, ensure data integrity, and determine the level of accessibility that must be maintained. Specific activities include:
   • Identification of security, privacy, legal, and other organizational requirements for recovery of institutional resources such as data, software, hardware, configurations, and licenses at the termination of the contract.
   • Assessment of the Contractor's security and privacy controls.
   • Periodic reassessment of Contractor Services provisioned to ensure all contract obligations are being met and to manage and mitigate risk.

5.3 Contractor is the owner or authorized user of Contractor Materials, software and all of its components, and, to the best of Contractor’s knowledge, do not violate any patent, trademark, trade secret, copyright or any other right of ownership of any third party.

5.4 Contractor shall establish and maintain, at minimum, industry standard technical and organizational measures to help to protect against (i) accidental damage to, or destruction, loss, or alteration of Materials; (ii) unauthorized access to the Confidential Information; (iii) unauthorized access to the Services and Materials; and (iv) industry known system attacks (e.g., hacker and virus attacks).

5.5 Contractor will report any confirmed or suspected breach of UMBC Materials to UMBC’s Chief Information Security Officer within one day of discovery or detection. Any confirmed or suspected computer security incidents not resulting in breach of UMBC Materials shall be reported to UMBC Chief Information Security Officer within five days of discovery or detection.

5.6 Contractor will follow strong identity management characteristics and practices, requiring users to adhere, at a minimum, to UMBC usage, construction, and change requirements.

5.7 Contract will configure and maintain its network to be suitably hardened, at industry standards, against security threats and ensure adequate performance.

5.8 For Contractor’s Services that engage UMBC Materials classified by UMBC as Data Sensitivity Level 2 or 3 (https://goo.gl/CsBDVG and http://goo.gl/td8gwk) Contract shall, on an annual basis, obtain Service Organization Control (SOC) 1, 2 or 3 reports, and/or a Statement on Standards for Attestation Engagements (SSAE) No. 16 report (“Reports”) for all facilities from which the Services are provided. It is the Contractor’s responsibility that the Reports are provided under the terms and conditions of this Contract without UMBC being required to agree to additional terms and conditions that may be applied by a third party. If a Report states that a facility has failed to materially satisfy one or more control objectives, Contract will use commercially reasonable efforts to cause the facility to materially satisfy all relevant control objectives. If, despite Contractor’s efforts, the facility cannot materially satisfy all relevant control objectives, Contractor will mitigate the issue in a commercially reasonably manner which may include the migration to an alternate facility which materially satisfies all control objectives. Failure to do so may be considered a material breach of this Contract in the sole and reasonable discretion of UMBC.

5.9 UMBC, or a UMBC-appointed audit firm, (Auditors) has the right to audit Contract’s security related to the processing, transport, or storage of UMBC Materials. Audits will be at UMBC’s sole expense which includes operational charges by Contractor, except where the audit reveals material noncompliance with Contract specifications, in which case the cost, inclusive of operational charges by Contractor, will be borne by the Contractor. In lieu of UMBC, or its appointed audit firm, performing their own audit, if Contractor has an external audit firm that performs a review, UMBC has the right to review the controls tested as well as the results, and has the right to request additional controls to be added to the certified Report for testing the controls that have an impact on UMBC Materials.

5.10 Contractor will give UMBC written notice of any government or third-party subpoena requests within five days of Contractor’s receipt of the request, and prior to answering the request.
6. **BUSINESS CONTINUITY PLAN**: Contractor represents and warrants that it currently has certain business continuity plans in place throughout its organization that will be used in case of a disaster or other event that could result in the interruption of Contractor’s capability to perform its obligations to UMBC under this Contract. Contractor agrees that its plans include reasonably prudent back-up business resumption and disaster recovery and that it continually maintains its business continuity plans to ensure that they remain current. Contractor further agrees to inform UMBC immediately in the event it suffers a disaster or business interruption. Contractor acknowledges that UMBC, at its option, may regard Contractor’s failure to comply with the requirements in this Section as a material breach of this Contract, and that, in such event, UMBC may pursue all available legal remedies, including injunctive and other damages.

7. **OWNERSHIP AND PROPRIETARY RIGHTS**.

7.1 Contractor owns and retains all right, title and interest in Contractor-Owned Materials. If the Contractor furnishes any design, device, material, process, or other item which is covered by a patent or copyright or which is deemed proprietary to or a trade secret of a third party, Contractor shall obtain the necessary permission or license to use such item. UMBC owns and retains all right, title and interest in UMBC-Owned Materials. UMBC Students own and retain all right, title and interest in UMBC Student-Owned Material. UMBC acknowledges and agrees that, unless otherwise agreed by Contractor in writing, Contractor is the sole and exclusive owner of all rights, including but not limited to all patent rights, copyrights, trade secrets, trademarks, and other proprietary rights, in the systems, programs, specifications, user documentation, and other Contractor-Owned Materials used by Contractor in the course of its provision of Services hereunder. UMBC also acknowledges and agrees that in entering into this Contract, UMBC acquires no ownership rights in Contractor-Owned Materials. UMBC shall not copy, transfer, sell, distribute, assign, or display Contractor-Owned Materials to third parties. Contractor acquires no rights of ownership in or to the UMBC-Owned Materials or the Student-Owned Materials; or anything that is provided to Contractor by UMBC, including but not limited to business processes, software and related documentation. Any modifications or enhancements to the UMBC-Owned Materials or the Student-Owned Materials including those suggested or implemented by Contractor, shall belong to UMBC. Contractor agrees that its rights to use any such materials or data provided by UMBC, including all UMBC-Owned Materials is limited to such use as is necessary to permit Contractor to perform Services and obligations in this Contract.

7.2 Contractor agrees to indemnify and hold harmless, and defend, upon request, UMBC, its officers, agents, Board of Regents and employees with respect to any claim, action, cost, liability, or judgment for infringement of any patent, trademark, copyright, or trade secret arising out of purchase or use of Contractor-Owned Material under this Contract and for a violation or breach of the provisions set forth in this Section 7 and Section 8 of this Contract. If any Materials furnished by Contractor become, or in Contractor’s opinion, are likely to become, the subject of a claim of infringement, Contractor will, at its option: (1) procure for UMBC the right to continue using the applicable item; (2) replace the Material with a non-infringing product substantially complying with the item’s specifications; or (3) modify the item so it becomes non-infringing and performs in a substantially similar manner to the original item.

7.3 Notwithstanding anything in the Contract to the contrary, any and all Deliverables shall be the sole and exclusive property of UMBC. Notwithstanding the foregoing, the intellectual capital (including without limitation, ideas, methodologies, processes, inventions and tools) developed or possessed by Contractor prior to, or acquired during, the performance of the Scope of Work shall be Contractor-Owned Material.

7.4 Upon UMBC’s request or upon the expiration or termination of this Contract, Contractor shall deliver or return all copies of the Work to UMBC.

7.5 Contractor and UMBC intend this Contract to be a contract for services and each considers any tangible work products identified as Deliverables during the Term or Terms of this Contract to be a work made for hire. If for any reasons, the Deliverables would not be considered a work made for hire under applicable law, Contractor does hereby sell, assign and transfer to UMBC, its successors, and assigns, the entire right, title and interest in and to the copyright and anyregistrations and copyright applications relating thereto and renewals and extensions thereof, and in and to all works based upon, derived from or incorporating the Deliverables, and in and to all income, royalties, damages, claims and payments now or hereafter due or payable with respect thereto, and in and to all causes of action, either in law or equity for past, present, or future infringement based on the copyrights, and in and to all rights corresponding to the foregoing throughout the world. Contractor agrees to execute all documents and to perform such other proper acts as UMBC may deem necessary to secure for UMBC the rights in the Deliverables.
7.6 Other than Deliverables and Contractor-Owned Materials, the tangible property and work products created by Contractor pursuant to this Contract (“Work Product”) shall mutually belong to UMBC and Contractor and each shall be free to use such Work Product without permission of or payment of royalty to the other. As to tangible products and work products identified as Deliverables during the Term or Terms of this Contract, all Deliverables shall be owned exclusively by UMBC.

7.7 UMBC recognizes that Contractor’s business depends substantially upon the accumulation of learning, knowledge, data, techniques, tools, processes, and generic materials that it utilizes and develops in its engagements. UMBC’s business also depends substantially upon the accumulation and application of learning, knowledge, data, techniques, tools, processes, and generic materials that it utilizes and develops through collaboration with contractors and other service providers. Accordingly, to the extent material that is used in, enhanced, or developed in the course of providing Services hereunder is of a general abstract character, or may be generically re-used, and does not contain Confidential Information of UMBC, then Contractor will own such material including, without limitation: methodologies; delivery strategies, approaches and practices; generic software tools, routines, and components; generic content, research and background materials; training materials; application building blocks; templates; analytical models; project tools; development tools; inventions; solutions and descriptions thereof; ideas; and know-how (collectively “Know-how”) developed by Contractor, and UMBC will own the Know-how developed by UMBC. To the extent such Know-how is contained or reflected in the Work Product, each party hereby grants the other a fully paid up, perpetual license to use such Know-how. Neither party will sublicense or sell Know-How of the other party to any third party, and will not use or exploit the Know-How of the other party to compete with the information technology and professional services of Contractor or the educational services and delivery of UMBC.

8. PROPRIETARY AND CONFIDENTIAL INFORMATION:

8.1 Contractor acknowledges and understands that in connection with this Contract, the performance of the Scope of Work and otherwise, Contractor has had or shall have access to, has obtained or shall obtain, or has been or shall be given UMBC’s Confidential Information (as defined herein). For purposes of this Contract, “Confidential Information” means all information provided by UMBC or UMBC Students to Contractor, including without limitation information concerning UMBC’s business strategies, political and legislative affairs, students, employees, vendors, contractors, student records, customer lists, finances, properties, methods of operation, computer and telecommunications systems, software and documentation, student materials, student name and other identifying information which is generated by the student, such as biometrics. Confidential Information includes information in any and all formats and media, including without limitation oral, and includes the originals and any and all copies and derivatives of such information.

8.2 Contractor shall use the Confidential Information only if and when required for the performance of the Services, and for no other purpose whatsoever, and only by Contractor employees engaged in that performance. Contractor may also share Confidential Information with its corporate affiliates and with agents and contractors who are bound by similar obligations of confidentiality and who need such information as part of Contractor’s performance under this Contract.

8.3 Contractor shall not, in any manner whatsoever, disclose, permit access to, or allow use of Confidential Information to any person or entity except as specifically permitted or required under this Contract.

8.4 Contractor acknowledges and understands that UMBC is required to protect certain Confidential Information from disclosure under applicable law, including but not limited to the Family Educational Rights and Privacy Act (“FERPA”), the Gramm Leach Bliley Act (“GLBA”), or the Maryland Public Information Act (“PIA”), including regulations promulgated thereunder (collectively the “Privacy Laws”). The Confidential Information that is protected under FERPA was provided to the Contractor as it is handling an institution service or function that would ordinarily be performed by UMBC’s employees. Contractor agrees that it shall be obligated to protect the Confidential Information in its possession or control in accordance with the Privacy Laws and as a “school official” under FERPA. The Contractor further agrees that it is subject to the requirements governing the use and re-disclosure of personally identifiable information from education records as provided in FERPA. Contractor expressly warrants and represents that it shall not use the student information or educational records provided by UMBC for any purpose other than to comply with the terms of this Contract with UMBC. Contractor shall indemnify and hold harmless UMBC from and against any and all claims, suits, proceedings, costs, losses, damages, liabilities, expenses, demands, and judgments, including court costs, attorney's fees, and other reasonable expenses of litigation, which may arise out of, relate to, or be a consequence of, an unauthorized disclosure of educational records. Contractor will, upon discovery, or receipt
of notice, of a potential, or actual, material unauthorized disclosure of educational records, immediately report said occurrence to UMBC. Contractor will work with UMBC to remediate the unauthorized disclosure (or anticipated unauthorized disclosure) at the expense of Contractor. The terms of the remediation are the sole and exclusive determination of UMBC.

8.5 Contractor may disclose Confidential Information as required by legal process. If Contractor is required by legal process to disclose Confidential Information, Contractor shall immediately notify UMBC, and before disclosing such information shall allow UMBC reasonable time to take appropriate legal action to prevent disclosure of the Confidential Information.

8.6 Contractor's obligations with respect to Confidential Information shall survive the expiration or the termination of this Contract.

8.7 Contractor acknowledges that its failure to comply fully with the restrictions placed upon use, disclosure and access to Confidential Information may cause UMBC grievous irreparable harm and injury. Therefore, any failure to comply with the requirements of this section may be a material breach of this Contract.

8.8 Contractor agrees and acknowledges that it is not the custodian of any Confidential Information that may be in Contractor’s possession or control. Contractor shall forward any request for disclosure of Confidential Information to:

Procurement Officer
UMBC
1000 Hilltop Circle
Baltimore, MD 21250

AND

To UMBC’s Legal Office or designee
UMBC
1000 Hilltop Circle
Baltimore, MD 21250

8.9 Contractor agrees to use Student-Owned Materials, UMBC-Owned Materials and UMBC’s Confidential Information only as necessary to perform its responsibilities under this Contract, keep it confidential in accordance with this Contract and use reasonable commercial efforts to prevent and protect the contents of these materials, or any parts of them, from unauthorized disclosure. Further, Contractor will take industry standard measures to protect the security and confidentiality of such information including controlled and audited access to any location where such confidential and proprietary data and materials reside while in the custody of Contractor and employing security measures to prevent system attacks (e.g., hacker and virus attacks).

8.10 Contractor will implement security measures at its offices and all other associated facilities in connection with Contractor software to ensure the strictest confidentiality of UMBC-Owned Materials, UMBC’s Confidential Information and all other confidential information and materials. These measures will include, without limitation, encryption, use of a sign-on and access privilege system and other measures described in this Contract, and such other measures as Contractor deems necessary in its professional discretion. Unless otherwise provided by separate agreement, upon termination of this Contract or upon earlier request by UMBC, Contractor shall return to UMBC, all UMBC-Owned Materials or UMBC’s Confidential Information, all data, software provided to Contractor by UMBC, student records, and any other proprietary information or materials that have not already been purged pursuant to this Contract; alternatively and at UMBC’s option, Contractor shall destroy any or all of the aforementioned beyond recoverability. Contractor may retain one full version of part or all of the aforementioned data for the sole purposes of demonstrating contractual compliance. Any data referred to in this section that is still within Contractor’s actual or constructive control shall be subject to the terms of this Contract in perpetuity. Except as otherwise provided herein, Contractor shall not retain any electronic or other copies of any UMBC Materials or Student-Owned Materials contemplated herein without the prior written authorization from UMBC.
8.11 UMBC will implement security measures at its offices and all other associated facilities to ensure the confidentiality of Contractor’s Confidential Information and materials in manner like that provided by UMBC for its own information and materials of a similar nature, identified as confidential under this Contract. Unless otherwise provided by separate agreement, upon termination of this Contract and upon request, UMBC shall return to Contractor all Contractor-Owned Materials, including software, Source Code, and/or documentation provided to UMBC by Contractor; alternatively, and at Contractor’s option, UMBC shall destroy any or all of the aforementioned. UMBC shall not retain any electronic or other copies of any Contractor-Owned Materials or other Contractor Proprietary and Confidential Information absent of prior written authorization from Contractor.

8.12 Contractor will notify UMBC as soon as commercially practical of any actual or suspected breach of security with respect to Confidential Information. Contractor will notify UMBC as soon as commercially practical of any unusual circumstances, including but not limited to Contractor-based technical problems, power outage affecting authentication, suspicion concerning identity of person logging on, Contractor or Contractor’s subcontractor system intrusions (e.g., attack by hacking, virus infection). Notifications to be made under this Section shall be made in the most expeditious manner possible (telephone with e-mail confirmation is preferred) to the UMBC Chief Information Security Officer.

8.13 Except as specifically permitted by this Contract, Contractor acknowledges that any unauthorized use, reproduction, or disclosure of UMBC’s Proprietary and Confidential Information and Property could result in irreparable injury to UMBC and further agrees that there may be no adequate remedy at law for any breach of its obligations hereunder and upon any such breach or any threat thereof by Contractor, UMBC will be entitled to seek appropriate equitable relief, including immediate injunctive relief and monetary damages resulting from material breach of the terms of this Section, as well as any other rights and remedies that may be available to UMBC by law.

8.14 Except as specifically permitted by this Contract, UMBC acknowledges that any unauthorized use, reproduction, or disclosure of Contractor’s Proprietary and Confidential Information and Property, other than any use, reproduction, or disclosure made under the Maryland Public Information Act, may result in irreparable injury to Contractor and further agrees that there may be no adequate remedy at law for any breach of its obligations hereunder and upon any such breach or any threat thereof by UMBC, Contractor may be entitled to seek appropriate damages resulting from material breach of the terms of this Section, as well as any other rights and remedies that may be available to Contractor by law. Nothing in this provision is intended as a waiver of any defense or immunity that may be available to UMBC.

9. COMPLIANCE WITH FERPA: UMBC agrees that, for purposes of the Family Educational Rights and Privacy Act of 1974 (20 U.S.C. § 1232g) as amended (“FERPA”), the Contractor will be considered a contractor to whom functions and services have been outsourced by UMBC. As a result of these function and services, the Contractor might have access to educational records, as defined by FERPA. Contractor agrees that it shall not re-disclose personally identifiable education records that it receives from or on behalf of UMBC pursuant to this Contract, unless such disclosure is authorized to perform the functions and services provided through this Contract or is authorized under FERPA. Contractor expressly warrants and represents that it shall not use the student information or educational records provided by UMBC for any purpose other than to comply with the terms of this Contract with UMBC. Contractor shall indemnify and hold harmless UMBC from and against any and all claims, suits, proceedings, costs, losses, damages, liabilities, expenses, demands, and judgments, including court costs, attorney's fees, and other reasonable expenses of litigation, which may arise out of, relate to, or be a consequence of, an unauthorized disclosure of educational records. Contractor will, upon discovery, or receipt of notice, of a potential, or actual, material unauthorized disclosure of educational records, immediately report said occurrence to UMBC. Contractor will work with UMBC to remediate the unauthorized disclosure (or anticipated unauthorized disclosure) at the expense of Contractor. The terms of the remediation are the sole and exclusive determination of UMBC.

10. SUBCONTRACTING AND ASSIGNMENT:

10.1 Except as explicitly set forth in this Contract, Contractor may not subcontract any portion of the Services provided under this Contract without obtaining the prior written approval of UMBC, nor may Contractor assign this Contract or any of its rights or obligations hereunder, without the prior written approval of UMBC. Such written approval will be in the form of a modification to this Contract. UMBC shall not be responsible for the fulfillment of Contractor’s obligations to subcontractors. Any such subcontract shall be subject to any terms and conditions that UMBC deems necessary to protect its interests. Contractor shall remain responsible for performance of all Services under this Contract, and shall be subject to liability to UMBC for acts and omissions of subcontractors.
10.2 Contractor agrees that all Services provided by Contractor under this Contract including any amendment(s) to this Contract will be performed by employees or consultants or subcontractors of Contractor who have executed work-for-hire agreements with or who have assigned their work to Contractor as appropriate. Contractor shall only engage consultants or subcontractors who have agreed to comply with Sections 7, 8 & 9 of this Contract as if they were a party hereto. Contractor and UMBC agree that UMBC is a third party beneficiary of such engagement agreements and UMBC has the right, but not the obligation, to enforce such engagement agreements in its own name. Contractor acknowledges and agrees that it is responsible under this Contract for the acts and omissions of its consultants.

10.3 Neither party may assign this Contract without the prior written consent of the other party, which consent shall not be unreasonably withheld, except that Contractor may assign this Contract to any parent, subsidiary, affiliate or purchaser of all or substantially all its assets, or via a merger, with notice to UMBC. Contractor may designate a third party to receive payment without UMBC’s prior written consent unless in conflict with Maryland or federal law, but shall provide UMBC with notification thereof.

11. **PUBLICITY/USE OF NAME AND LOGO:**

11.1 Contractor is authorized to identify UMBC as a party to this Contract for the purpose of identifying UMBC as a customer to potential customers. However, any other use of UMBC’s name promotionally or otherwise in connection with Contractor’s business or the subject matter of this Contract is prohibited without the prior written consent of UMBC.

11.2 This Contract does not include a trademark license. Except as allowed by law for limited informational purposes, UMBC grants no rights to use any of its trademarks or service marks, for any purpose, without the prior and explicit written permission of UMBC. Under no circumstances does UMBC grant the right to use its logos or marks or any related products or services.

11.3 Any violation of this Section 11 will be considered a material breach of this Contract and grounds for its immediate termination in UMBC’s sole discretion.

12. **TIME IS OF THE ESSENCE:** For all those sections of this Contract where a number of days or time frame is required, Contractor acknowledges and agrees that time is of the essence as to the production and delivery of all products and services hereunder.

13. **DELAYS AND EXTENSIONS OF TIME:** Contractor agrees to prosecute the Work continuously and diligently and no charges or claims for damages shall be made by it for any delays or hindrances from any cause whatsoever during the progress of any portion of the Work specified in this Contract. Time extensions will be granted only for excusable delays that arise from unforeseeable causes beyond the control and without the fault or negligence of Contractor, including but not restricted to, acts of God, acts of public enemy, acts of the State in either its sovereign or contractual capacity, acts of another Contractor in the performance of an agreement with the State or UMBC, changes in law or regulation, action by government or other competent authority, fires, earthquakes, floods, epidemics, quarantine restrictions, strikes, freight embargoes, malicious or criminal acts of third parties, or delays of subcontractors or suppliers arising from unforeseeable causes beyond the control and without the fault or negligence of either Contractor or the subcontractors or suppliers. If Contractor is unable to perform its obligations under this Contract for ten (10) days, unless another time duration is mutually agreed, due to a Force Majeure, then UMBC may terminate this Contract. Termination will occur according to Section 17 of this Contract.

14. **SUSPENSION OF WORK:** The Procurement Officer unilaterally may order the Contractor in writing to suspend, delay, or interrupt all or any part of its performance for such period of time as the Procurement Officer may determine to be appropriate for the convenience of UMBC. Such suspension, delay, or interruption may be subject to applicable fees and expenses incurred by the Contractor. Such fees and expenses, if any, will be subject to negotiation between UMBC and the Contractor.

15. **INSURANCE:**
15.1 Contractor shall secure, and shall require that subcontractors secure, pay the premiums for and keep in force until the expiration of this Contract, and any renewal thereof, adequate insurance as provided below, such insurance to specifically include liability assumed by Contractor under this Contract inclusive of the requirements below:

- Commercial General Liability Insurance including all extensions
- Not less than $1,000,000 each occurrence
- Not less than $1,000,000 personal injury
- Not less than $1,000,000 products/completed operation
- Not less than $1,000,000 general aggregate
- Worker’s Compensation per statutory requirements
- Professional Liability or Technology Errors and Omissions insurance in an amount not less than $1,000,000

Limits of insurance may be achieved either singularly or by combination of applicable coverages.

15.2 All policies for liability protection, bodily injury, or property damage and fiduciary bonding must specifically name on its face UMBC as an additional named insured with respect to operations under this Contract, including but not limited to Contractor’s data center or other premises where UMBC’s data is stored, provided, however, with respect to Contractor's liability for bodily injury or property damages above, such insurance shall cover and not exclude Contractor's liability for injury to the property of UMBC and to the persons or property of employees, students, faculty members, agents, officers, regents, invitees, or guests of UMBC.

15.3 Contractor will take commercially reasonable and practical steps to ensure that each insurance policy contains endorsements, identical to, or nearly identical to, the following: "It is understood and agreed that the Insurance Company shall notify the Procurement Officer in writing forty-five days (45) in advance of the effective date of any reduction in or cancellation of this policy." Upon the request of the Procurement Officer, a certified true copy of each policy of insurance including the above endorsement manually countersigned by an authorized representative of the insurance company shall be furnished to the Procurement Officer. Notices of policy changes shall be furnished to the Procurement Officer. All required insurance coverages must be acquired from insurers allowed to do business in the State of Maryland. The insurers must have a policy holder's rating of "A- or better."

16. MULTI-YEAR CONTRACTS CONTINGENT UPON APPROPRIATIONS: If the General Assembly fails to appropriate funds or if funds are not otherwise made available for continued performance for any fiscal period of this Contract succeeding the first fiscal period, this Contract shall be canceled automatically as of the beginning of the fiscal year for which funds were not appropriated or otherwise made available; provided, however, that this will not affect either UMBC’s rights or Contractor's rights under any termination clause in this Contract. The effect of termination of the Contract hereunder will be to discharge both Contractor and UMBC from future performance of the Contract, but not from their rights and obligations existing at the time of termination. Contractor shall be reimbursed for the reasonable value of any non-recurring costs incurred but not amortized in the price of the Contract. UMBC shall notify Contractor as soon as it has knowledge that funds may not be available for the continuation of this Contract for each succeeding fiscal period beyond the first.

17. TERMINATION FOR DEFAULT: If the Contractor fails to fulfill its obligation under this Contract properly and on time, or otherwise violates any provision of the Contract, UMBC may terminate the contract by written notice to the Contractor. The notice shall specify the acts or omissions relied upon as cause for termination. All finished or unfinished Deliverables provided by the Contractor shall, at UMBC’s option, become UMBC’s property. UMBC shall pay the Contractor fair and equitable compensation for satisfactory performance prior to receipt of notice of termination, less the amount of damages caused by Contractor’s breach. If damages are more than the compensation payable to the Contractor, the Contractor will remain liable after termination and UMBC can affirmatively collect damages. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of USM Procurement Policies and Procedures.

18. TERMINATION FOR CONVENIENCE: The Contractor’s performance under this Contract may be terminated by UMBC, in accordance with this clause in whole, or from time to time in part, whenever UMBC shall determine that such termination is in the best interest of UMBC. UMBC will pay all reasonable costs associated with this Contract that the Contractor has incurred up to the date of termination and all reasonable costs associated with termination of the Contract. In the event that UMBC terminates under this provision, UMBC and Contractor agree that Contractor is entitled to retain the balance of the prepaid annual license fee or one year of any prepaid annual
license fee, whichever is less, as reasonable costs associated with the termination. However, the Contractor shall not be reimbursed for any anticipatory profits that have not been earned up to the date of termination. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of USM Procurement Policies and Procedures.

19. **INSOLVENCY**: In addition to and not in conflict with the provisions in Section 17 and Section 18 of this Contract, either party may terminate this Contract if the other party becomes insolvent, makes a general assignment for the benefit of creditors, suffers or permits the appointment of a receiver for its business or assets, becomes subject to any proceeding under any bankruptcy or insolvency law whether domestic or foreign, or has wound up or liquidated, voluntarily or otherwise.

20. **SURVIVAL AFTER EXPIRATION OR TERMINATION**: Notwithstanding the expiration or termination of this Contract or any renewal period hereof, it is acknowledged and agreed that those rights and obligations which by their nature are intended to survive such expiration or termination will survive including, without limiting the foregoing, the following sections:

- Ownership and Proprietary Rights, Section 7
- Proprietary and Confidential Information, Section 8
- Indemnification, Section 22
- Limitation of Liability, Section 23
- Representations and Warranties, Section 42

21. **LIQUIDATED DAMAGES**: Time is an essential element of the Contract and it is important that the work be vigorously prosecuted until completion. For each day that any work shall remain uncompleted beyond the time(s) specified in the Exhibit, the Contractor shall be liable for liquidated damages in the amount(s) provided for in the Exhibit, provided, however, that due account shall be taken of any adjustment of the specified completion time(s) for completion of work as granted by approved change orders.

22. **INDEMNIFICATION**:  

22.1 In addition to the obligations to indemnify set forth elsewhere in this Contract, Contractor will indemnify and hold harmless UMBC, its officers, employees, students, contractors, and agents, from and against any and all claims, suits, proceedings, costs, losses, damages, injury, liabilities, expenses, demands, and judgements, including court costs, attorney’s fees, and other reasonable expenses of litigation, (collectively, a “Claim”) arising directly out of Contractor's operations under this Contract, including operation of equipment or vehicles, and wrongful or tortious acts of omission, commission, or negligence by Contractor, its employees, contractors, or agents when engaged in company operations under this Contract, and including the disclosure of user personally identifiable data, either during the term of this Contract or at any time thereafter, if such disclosure occurred as a result of negligence by Contractor, its employees, contractors, or agents, and provided that UMBC: (a) notifies Contractor promptly in writing of any such Claim, (b) reasonably cooperates with Contractor in defending any such Claim, and, (c) in no event shall UMBC settle any such Claim without Contractor's prior written approval. Additionally, the Contractor shall reimburse, indemnify and hold harmless UMBC for all loss to UMBC resulting from the non-performance of this Contract, except those losses otherwise specifically excluded by UMBC.

22.2 Contractor will defend and indemnify UMBC for any Claim brought against UMBC alleging that Contractor-owned Materials infringes any U.S. patent, copyright, trademark, or other proprietary right, and shall pay any Claim against UMBC, including all court awarded costs, damages and expenses, which result from any such Claim, provided that UMBC: (a) notifies Contractor promptly in writing of any such claim or proceeding, and (b) reasonably cooperates with Contractor in defending any such claim or proceeding.

22.3 If UMBC’s use of Contractor-owned Materials or Services becomes, or, in Contractor’s opinion, is likely to become, enjoined as a result of a claim pursuant to alleged-infringement of any third party’s proprietary rights, Contractor, at Contractor’s expense, shall either procure UMBC the right to continue using the Contractor-owned Materials or Services, or replace or modify the same so that it becomes non-infringing (provided replacement or modified Contractor-owned Materials or Services have substantially comparable functionality to the original Materials or Service) or, in the event performing the foregoing options are not commercially reasonable, refund to UMBC the fees paid for the particular Materials or Services out of which the claim arose.
22.4 UMBC shall not assume any obligation to indemnify, hold harmless, or pay attorneys’ fees that may arise from or in any way be associated with the performance or operation of this Contract. Contractor agrees that any obligation of UMBC, as agency of the State of Maryland, under this Contract is subject to the limitations of liability that apply to agencies of the State of Maryland and other limitations of liability set forth in this Contract or by law.

23. **LIMITATION OF LIABILITY**: EXCEPT WHERE THE SAME RESULTS FROM CONTRACTOR’S BREACH OF ANY PRIVACY, CONFIDENTIALITY, OR OTHER LAW, CONTRACTOR’S REPRESENTATIONS OR WARRANTIES, CONTRACTOR’S INDEMNIFICATION OBLIGATIONS, OR FOR ANY DAMAGES INCURRED AS A RESULT OF THE NEGLIGENCE OR WILLFUL MISCONDUCT OF CONTRACTOR (A) NEITHER UMBC NOR CONTRACTOR SHALL BE LIABLE FOR ANY INDIRECT, INCIDENTAL, CONSEQUENTIAL, PUNITIVE, OR SPECIAL DAMAGES, INCLUDING WITHOUT LIMITATION, LOSS OF PROFITS, AND LOSS OF GOODWILL, WHETHER IN CONTRACT OR IN TORT, OR OTHERWISE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGES, AND (B) CONTRACTOR’S LIABILITY IN CONNECTION WITH THIS CONTRACT OR THE SERVICES PROVIDED HEREUNDER SHALL NOT EXCEED THE TOTAL AMOUNT OF FEES PAID BY UMBC UNDER THIS CONTRACT DURING THE TERM OF THIS CONTRACT OR $500,000, WHICHERSOEVER IS GREATER.

24. **DISPUTE RESOLUTION**:

24.1 Contractor and UMBC agree to work in good faith to resolve between them all disputes and claims arising out of or relating to this Contract, the parties’ performance under it, or its breach. Contractor and UMBC will each designate an officer or other management employee to meet in good faith and attempt to resolve the dispute. During their discussions, each party will honor the other’s reasonable requests for information relating to the dispute or claim. Failure to do so shall not be a breach of this Contract but shall indicate that the parties are unable to resolve their dispute.

24.2 If the Parties are unable to resolve the dispute within thirty (30) days after referral to them, the Disputes process outlined below will be followed:

24.2.1 Except as otherwise may be provided by law, all disputes arising under or as a result of a breach of this Contract that are not disposed of by mutual agreement shall be resolved in accordance with this clause.

24.2.2 As used herein, "claim" means a written demand or assertion by one of the parties seeking, as a legal right, the payment of money, adjustment or interpretation of Contract terms, or other relief, arising under or relating to this Contract. A voucher, invoice, or request for payment that is not in dispute when submitted is not a claim under this clause. However, if the submission subsequently is not acted upon in a reasonable time, or is disputed as to liability or amount, it may be converted to a claim for the purpose of this clause.

24.2.3 A claim shall be made in writing and submitted to the Procurement Officer for decision in consultation with the Office of the Attorney General within thirty (30) days of when the basis of the claim was known or should have been known, whichever is earlier.

24.2.4 When a claim cannot be resolved by mutual agreement, Contractor shall submit a written request for final decision to the Procurement Officer. The written request shall set forth all the facts surrounding the controversy.

24.2.5 Contractor, at the discretion of the Procurement Officer, may be afforded an opportunity to be heard and to offer evidence in support of his claim.

24.2.6 The Procurement Officer shall render a written decision on all claims within 180 days of receipt of Contractor's written claim, unless the Procurement Officer determines that a longer period is necessary to resolve the claim. If a decision is not issued within 180 days, the Procurement Officer shall notify Contractor of the time within which a decision shall be rendered and the reasons for such time extension. The decision shall be furnished to Contractor, by certified mail, return receipt requested, or by any other method that provides evidence of receipt. The Procurement Officer's decision shall be deemed the final action of UMBC.

24.2.7 The Procurement Officer's decision shall be final and conclusive unless Contractor mails or otherwise files a written appeal with the Maryland State Board of Contract Appeals within thirty (30) days of receipt of the decision.
24.2.8 Pending resolution of a claim, Contractor shall proceed diligently with the performance of the Contract in accordance with the Procurement Officer's decision.

25. **NON-HIRING OF UNIVERSITY EMPLOYEES:** No employee of UMBC, whose duties as such employee include matters relating to or affecting the subject matter of this Contract, shall, while so employed, become or be an employee of the party or parties herein contracting with UMBC.

26. **ETHICS:** This Contract is cancelable in the event of a violation of the Maryland Public Ethics Laws by Contractor or any UMBC employee in connection with this Contract.

27. **ANTI-BRIBERY:** Contractor warrants that neither it nor any of its officers, directors or partners, nor any employees who are directly involved in obtaining or performing contracts with any public body has been convicted of bribery, attempted bribery or conspiracy to bribe under the laws of any state or the federal government.

28. **PROHIBITION ON GIFTS AND GRATUITIES:** Contractor warrants that it has not offered or given, and will not offer or give to any employee or representative or family member of an UMBC employee a payment, gratuity, personal service, entertainment, or gift, other than novelty advertising items of a nominal value (i.e., pens, pencils, calendars, writing pads, clipboards, cups). Legitimate business-related activities (i.e., site inspections, business symposiums, business meals and other Contractor functions) are allowed. Any other offerings may be construed as Contractor's attempt to improperly influence decisions at UMBC. Contractor agrees that UMBC may, by written notice to Contractor, terminate this Contract if UMBC determines that Contractor has violated this provision.

29. **CONTINGENT FEE PROHIBITION:** The Contractor warrants that it has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee or agent working for the Contractor, to solicit or secure this Contract, and that it has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee or agent, any fee or any other consideration contingent on the making of this Contract.

30. **CONFLICT OF INTEREST LAW:** It is unlawful for any University officer, employee, or agent to participate personally in his official capacity through decision, approval, disapproval, recommendation, advice, or investigation in any contract or other matter in which he, his spouse, parent, child, brother, or sister, has a financial interest or to which any firm, corporation, association, or other organization in which he has a financial interest or in which he is serving as an officer, director, trustee, partner, or employee, or any person or organization with whom he is negotiating or has any arrangement concerning prospective employment, is a party, unless such officer, employee, or agent has previously complied with the provisions of Article 40A, §3-101 et seq of the Annotated Code of Maryland.

31. **MARYLAND LAW:** The laws of the State of Maryland shall govern the interpretation and enforcement of this Contract. Following exhaustion of the procedures set forth in Section 24 (Dispute Resolution), any subsequent legal actions arising under this Contract will be instituted only in the courts of the State of Maryland. As specifically provided by Maryland Code, Commercial Law Article, Section 21-104, the parties agree that computer software purchases made under this Contract shall not be governed by the Uniform Computer Information Transactions Act (UCITA) as adopted in Maryland under the Maryland Code, Commercial Law Article, Title 21 of the Commercial Law Article, as amended from time to time. This Contract shall be governed by the common law of Maryland relating to written agreements, as well as other statutory provisions, other than UCITA, which may apply, and shall be interpreted and enforced as if UCITA had never been adopted in Maryland.

32. **FORCE MAJEURE:** If either party’s performance(s) hereunder is rendered impossible, hazardous or is otherwise prevented or impaired due to sickness, inability to perform, accident, interruption or failure of means of transportation, Act(s) of God, riots, strikes, labor difficulties, epidemics, earthquakes, any act or order of any public authority, and/or any other cause or event, similar or dissimilar, beyond that party’s control, then each party’s obligations with respect to the affected performance(s) shall be excused and neither party will have any liability in connection therewith.

33. **WAIVER OF JURY:** UNIVERSITY AND CONTRACTOR HEREBY WAIVE TRIAL BY JURY IN ANY ACTION OR PROCEEDING TO WHICH THEY ARE PARTIES ARISING OUT OF OR IN ANY WAY PERTAINING TO THIS CONTRACT. IT IS AGREED AND UNDERSTOOD THAT THIS WAIVER CONSTITUTES A WAIVER OF TRIAL BY JURY OF ALL CLAIMS AGAINST ALL PARTIES WHO ARE NOT
PARTIES TO THIS CONTRACT. THIS WAIVER IS KNOWINGLY, WILLINGLY AND VOLUNTARILY MADE BY UNIVERSITY AND CONTRACTOR, WHO HEREBY REPRESENT AND WARRANT THAT NO REPRESENTATIONS OF FACT OR OPINION HAVE BEEN MADE BY AN INDIVIDUAL TO INDUCE THIS WAIVER OF TRIAL BY JURY OR TO IN ANY WAY MODIFY OR NULLIFY ITS EFFECT.

34. **NON-DISCRIMINATION IN EMPLOYMENT**: During the performance of this Contract, the Contractor agrees as follows: (a) The Contractor will not discriminate against any employee, applicant for employment, or individual because of race, color, religion, creed, age, sex, sexual orientation, gender identity or expression, marital status, national origin, veteran’s status, genetic information, and/or physical or mental handicap. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, creed, age, sex, sexual orientation, gender identity or expression, marital status, national origin, veteran’s status, genetic information, and/or physical or mental handicap; (b) The Contractor shall establish and maintain a written sexual harassment policy and shall inform their employees of the policy. The policy must, at minimum, contain a notice that sexual harassment will not be tolerated and employees who practice it will be disciplined; (c) The Contractor will post in conspicuous places, available to employees, applicants for employment, and representatives of each labor union with which the covered Contractor has a collective bargaining agreement, notices setting forth the provisions of the nondiscrimination clause in subsection (a); (d) In the event of the Contractor's noncompliance with the nondiscrimination clause, this contract may be cancelled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further contracts with the UMBC; and (e) The Contractor will include the provisions of paragraphs (a) through (d) in every subcontract so that such provisions will be binding upon each subcontractor or vendor.

35. **AMERICANS WITH DISABILITY ACT AND ACCESSIBILITY**: Contractor represents and warrants that it complies with the Americans with Disabilities Act (ADA) of 1990 (42 U.S.C. 12101 et seq.) as well as all applicable federal and state laws and regulations, guidelines and interpretations including but not limited to the National Rehabilitation Act Section 508 as amended. Contractor represents and warrants, as applicable, that the Services, Work, and/or Deliverables comply with Web Content Accessibility Guidelines (WCAG) Version 2.0 Level AA, and that it shall provide written documentation verifying accessibility, promptly respond to and resolve accessibility complaints received from UMBC, and indemnify and hold UMBC harmless in the event of claims arising from inaccessibility.

36. **CIVIL RIGHTS ACT 1964**: Vendors and Contractors providing materials, equipment, supplies or services to the State under this Contract herewith assure the State that they are conforming to the Civil Rights Act of 1964, the Civil Rights Restoration Act of 1988, and the Civil Rights Act of 1991, and Section 202 of Executive Order 11246 of the President of the United States of America as amended by Executive Order 11375, as applicable.

37. **AFFIRMATIVE ACTION**: The Contractor and all subcontractors shall develop and maintain affirmative action plans directed at increasing the utilization of women and members of minority groups on State public works projects, pursuant to the Executive Order 11246 of the President of the United States of America and guidelines on Affirmative Action issued by the Equal Employment Opportunities Commission (EEOC) 29 C.F.R. part 1608 and the Governor of Maryland’s Executive Order 01.01.1993.16.

38. **RETENTION OF RECORDS**: The Contractor shall retain and maintain all records and documents relating to this Contract for three (3) years after final payment by UMBC, or any applicable statute of limitations, whichever is longer, and shall make them available for inspection and audit by authorized representatives of UMBC or the State of Maryland, including the Procurement Officer or designee, at all reasonable times. Upon demand of UMBC, Contractor will deliver a copy of records of use to UMBC. Compliance with this section shall not be deemed a breach of any confidentiality obligations provided for herein.

39. **AUDIT**: UMBC reserves the right to request an independent review of the Contractor’s financial operations and overall contract compliance (“Review”). The Review would be at the Contractor’s expense and comprised of an agreed upon procedures engagement by an independent certified public accountant with a protocol acceptable to both parties at the time of the request.

40. **RELATIONSHIP OF THE PARTIES**: Each party is acting as an independent contractor and not as employee, agent, partner, or joint venturer with the other party for any purpose. Except as provided in this Contract, neither party will have any right, power, or authority to act or to create any obligation, express or implied, on behalf of the other.
41. **NO THIRD PARTY BENEFICIARIES:** This Contract is only for the benefit of the undersigned parties and their permitted successors and assigns. Except as provided in Section 10 of this Contract, no one shall be deemed to be a third party beneficiary of this Contract.

42. **COMPLIANCE WITH LAWS:** The Contractor hereby represents and warrants that:

42.1 It is qualified to do business in the State of Maryland and that it will take such action as, from time to time hereafter, may be necessary to remain so qualified;

42.2 It is not in arrears with respect to the payment of any monies due and owing the State of Maryland, or any department or unit thereof, including but not limited to the payment of taxes and employee benefits, and that it shall not become so in arrears during the term of this Contract;

42.3 It shall comply with all federal, State and local laws, regulations, and ordinances applicable to its activities and obligations under this Contract; and

42.4 It shall obtain at its expense, all licenses, permits, insurance, and governmental approval, if any, necessary to the performance of its obligations under this Contract.

43. **PRE-EXISTING REGULATIONS:** In accordance with the provisions of Maryland Code, State Finance and Procurement Article, Section 11-206, the regulations set forth in USM Procurement Policies and Procedures in effect on the date of execution of this Contract are applicable to this Contract.

44. **FINANCIAL DISCLOSURE:** The Contractor shall comply with the provisions of the Maryland Code, State Finance and Procurement Article, Section 13-221 which requires that every business that enters into contracts, leases, or other agreements with the State of Maryland or its agencies during a calendar year under which the business is to receive in the aggregate $100,000 or more, shall, within 30 days of the time when the aggregate value of these contracts, leases or other agreements reaches $100,000, file with the Secretary of State of Maryland certain specified information to include disclosure of beneficial ownership of the business.

45. **POLITICAL CONTRIBUTION Disclosure:** The Contractor shall comply with Maryland Code, Election Law Article, Article 33, Sections 14-101 through 14-104, which requires that every person that enters into contracts, leases, or other agreements with the State, a county, or an incorporated municipality, or their agencies, during a calendar year under which the person receives in the aggregate $100,000 or more shall file with the State Administrative Board of Election Laws a statement disclosing contributions in excess of $500 to a candidate for elective office in any primary or general election.

46. **SET-OFF:** UMBC may deduct from and set-off any amounts due and payable to the Contractor any back-charges or damages sustained by UMBC by virtue of any breach of this Contract by the Contractor or by virtue of the failure or refusal of the Contractor to perform the Services or any part of the Services in a satisfactory manner. Nothing herein shall be construed to relieve the Contractor of liability for additional costs resulting from a failure to satisfactorily perform the Services.

47. **PROHIBITION AGAINST SHIFTING MARYLAND INCOME TO OUT-OF-STATE AFFILIATES:** Contractor may not, for any period during the Contract term, seek to reduce the amount of Contractor’s income subject to Maryland income tax by payments made to an affiliated entity or an affiliate’s agent for the right to use trademarks, trade names, or other tangible property associated with Contractor. Contractor agrees that during the course of this Contract it shall not make any such royalty or similar payments to any affiliated company; and if any such royalty or similar payments are made, Contractor and the affiliated company shall file separate Maryland income tax, under a formula that reasonably apportions the income of the affiliated company among the states, including Maryland, in which the Contractor does business. Contractor agrees that it is authorized to bind its affiliated entities to the terms hereof.

48. **NOTICES:** Except as required by law, notices under this Contract will be written and will be considered effective upon personal delivery (email delivery is not considered personal delivery and any notice delivered via email must be followed up in physical form) to the person addressed or five (5) calendar days after deposit in any U.S. mailbox, first class (registered or certified) and addressed to the other party as follows:
49. **CONTRACT CONTROLS:** It is mutually agreed that any attached contract, or addenda thereto, by and between UMBC and the Contractor pertaining to this Contract is supplemental and subordinate to this University of Maryland, Baltimore County Contract. The terms and conditions of this University of Maryland, Baltimore County Contract shall, at all times and in all events and situations, be controlling.

50. **ENTIRE AGREEMENT:**

50.1 The parties agree that this Contract, including without limitation any Change Orders, Software Licenses/Professional Services Scope of Work/Subscription Services Agreements (if applicable), and other Attachments, and Exhibits thereto, constitutes the entire, complete and exclusive statement of the agreement between them as to the specific subject matter hereof and supersedes all prior written or oral and all contemporaneous oral agreements, understandings, and negotiations. In the event that Contractor enters into terms of use agreements or other agreements or understandings, whether electronic, click-through, verbal or in writing, with University’s employees or students, such agreements shall be null, void and without effect, and the terms of this Contract shall apply. This Contract is intended by the parties as the final expression of their agreement and may not be contradicted by evidence of any prior or contemporaneous agreement.

50.2 This Contract and the documents incorporated herein form the entire agreement of the parties with respect to the subject matter of this procurement, and supersedes all prior negotiations, agreements and understandings with respect thereto. This Contract may be amended with the written consent of both parties. Amendments may not significantly change the scope of the Contract.

50.3 The heading appearing at the beginning of the several sections making up this Contract have been inserted for identification and reference purposes only and will not be used in the construction and interpretation of this Contract.

50.4 Any provision of this Contract which is found to be invalid or unenforceable shall be ineffective to the extent of such invalidity or unenforceability, and the invalidity or unenforceability of such provision shall not affect the validity or enforceability of the remaining provisions hereof.

50.5 Except as required by law, the failure of either party to enforce any of the provisions hereof will not be construed to be a waiver of the right of such party thereafter to enforce such provisions or any other provisions.

50.6 Counterparts. This Contract may be executed simultaneously, in two (2) or more counterparts, each of which shall be deemed an original and all of which, when taken together, shall constitute one and the same document. The signature of any party to any counterpart shall be deemed a signature to, and may be appended to any other counterpart.

51. **USE OF CONTRACTOR’S FORMS NOT BINDING ON STATE:**

51.1 The use or execution by UMBC of any forms, orders, agreements, or other documents of any kind, other than the Contract documents, used pursuant to or in the administration of any contract awarded by UMBC to the Contractor, shall not bind UMBC to any of the terms and conditions contained therein except those provisions:

51.1.1 Generally describing for the purposes of ordering: equipment or Services to be provided, locations, quantities, delivery or installation dates, and, to the extent consistent with the Contract Documents, prices; and

51.1.2 not otherwise inconsistent with the Contract Documents.
51.2 Any such form, order, or others document shall not vary, modify, or amend the terms and provisions of the Contract Documents, notwithstanding any provision to the contrary in such document, unless all of the following conditions are met:
   a. the document expressly refers to the particular document and provision of the Contract Documents being modified and plainly and conspicuously identifies any modifications thereto as a modification; and
   b. the document is executed on behalf of UMBC by the procurement officer; and
   c. execution of the document is approved by the procurement authority whose approval is required by law.

52. **CONTRACT AFFIDAVIT:** The Contract Affidavit required by the USM Procurement Policies and Procedures, consisting of Authorized Representative statement, Certification of Corporate Registration and Tax Payment, and Certain Affirmations Valid is attached and is a part of this Contract that must be executed by an authorized representative of the Contractor.

53. **DEFINITIONS:**

53.1 “Materials” means any and all software, Source Code, technology, plans, research, products, processes, services, and/or business operations including, without limitation, product specifications, data, know-how, formulae, equations, algorithms, software, samples, measurements, compositions, sequences, processes, designs, sketches, photographs, graphs, drawings, samples, working models, prototypes, inventions and ideas, information and documentation, and other information provided for and/or used in the completion of this Contract.

53.2 “UMBC Materials” means those Materials owned by UMBC and supplied to Contractor by or for UMBC in connection with the Services that may be required for the Work and the Deliverables. UMBC Materials specifically includes, but is not limited to, Confidential Information, as defined in Section 8, and Materials which would be confidential or proprietary in respect to a private entity.

53.3 “Contractor-Owned Materials” means those Materials owned by Contractor which may be supplied by Contractor and/or licensed to UMBC in connection with the Services, Work and Deliverables.

53.4 “Student-Owned Materials” means any and all Materials supplied to Contractor by UMBC students (including, but not limited to, biometrics and identifying information) accessing any and all Services and products produced by Contractor for UMBC under and as contemplated in this Contract.

53.5 “Intellectual Property Rights” shall mean (a) copyrights and copyright applications, including any renewals, in either the United States or any other country; (b) trademarks, service marks, trade names, and applications or registrations for any of the foregoing in the State of Maryland, United States or any other country; (c) trade secrets or any data or information which provides value or a competitive advantage to its holder by not being publicly known; (d) patents, patent applications, continuations, divisionals, reexaminations, reissues, continuations-in-part, and foreign equivalents of the foregoing, in the United States or any other country; and, (e) any other right, title or interest in and to intellectual property as may be applicable to this Contract.

53.6 “Inventions” means inventions, discoveries, concepts, and ideas, whether patentable or not, including but not limited to processes, methods, formulae, software, techniques, blueprints, schematics, drawings, data, formulae, know-how, compositions, designs, sketches, photographs, graphs, samples, working models or prototypes, original works of authorship, as well as improvements thereof or know-how related thereto.

53.7 “Services” means all work necessary to provide the service specified, which may include without limitation online hosting, development, installation, training, analysis, design, programming, testing, implementation, and consulting.

53.8 “Source Code” means the human-readable description of the structure and methods of operation of any software, including but not limited to, flowcharts, programmers’ notes, and such other materials as may be reasonably necessary for a competent programmer to modify and maintain such software.

53.9 “Work” means any and all products, Deliverables, and/or Services required within a Scope of Work, as well as the documentation, research, notes, data, computations, estimates, reports, or other documents, or work product
related thereto including development activities created by or produced by Contractor for UMBC under this Contract. It is agreed that Work shall not include the Licensed Software or modifications thereto or Contractor-Owned Materials.

53.10 “Day” means calendar day, unless otherwise specified.

53.11 “Deliverable” is a tangible, verifiable work output such as a specification, programming, code, modification or other output developed for UMBC. A Deliverable may not include customization or enhancement of the Contractor-Owned Materials requested by UMBC that is not intended to be owned by UMBC.

53.12 “Procurement Officer” is UMBC’s Procurement Officer or designee.

IN WITNESS WHEREOF, the parties have caused this Contract to be executed on their behalf by the undersigned as of the date first shown above.

Contractor: ______________________________

__________________________________
Witness

BY: ______________________________
Signature

__________________________________
Typed/Printed Name

__________________________________
Title

__________________________________
Date

__________________________________
University of Maryland Baltimore County

__________________________________
Witness

BY: ______________________________
Signature

__________________________________
Typed/Printed Name

__________________________________
Title

__________________________________
Date
A. AUTHORITY

I HEREBY AFFIRM THAT:

I, (print name)________________________________________ possess the legal authority to make this Affidavit.

B. CERTIFICATION OF REGISTRATION OR QUALIFICATION WITH THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION

I FURTHER AFFIRM THAT:

The business named above is a (check applicable items):

(1) Corporation — ___ domestic or ___ foreign;

(2) Limited Liability Company — ___ domestic or ___ foreign;

(3) Partnership — ___ domestic or ___ foreign;

(4) Statutory Trust — ___ domestic or ___ foreign;

(5) ___ Sole Proprietorship

and is registered or qualified as required under Maryland Law.

I further affirm that the above business is in good standing both in Maryland and (IF APPLICABLE) in the jurisdiction where it is presently organized, and has filed all of its annual reports, together with filing fees, with the Maryland State Department of Assessments and Taxation. The name and address of its resident agent (IF APPLICABLE) filed with the State Department of Assessments and Taxation is:

Name and Department ID

Number:________________________ Address:________________________

and that if it does business under a trade name, it has filed a certificate with the State Department of Assessments and Taxation that correctly identifies that true name and address of the principal or owner as:

Name and Department ID Number: ______________________

Address: ____________________________________________.
C. FINANCIAL DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, the provisions of State Finance and Procurement Article, §13-221, Annotated Code of Maryland, which require that every business that enters into contracts, leases, or other agreements with the State of Maryland or its agencies during a calendar year under which the business is to receive in the aggregate $100,000 or more shall, within 30 days of the time when the aggregate value of the contracts, leases, or other agreements reaches $100,000, file with the Secretary of State of Maryland certain specified information to include disclosure of beneficial ownership of the business.

D. POLITICAL CONTRIBUTION DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, Election Law Article, Title 14, Annotated Code of Maryland, which requires that every person that enters into a procurement contract with the State, a county, a municipal corporation, or other political subdivision of the State, during a calendar year in which the person receives a contract with a governmental entity in the amount of $200,000 or more shall file with the State Board of Elections statements disclosing: (a) any contributions made during the reporting period to a candidate for elective office in any primary or general election; and (b) the name of each candidate to whom one or more contributions in a cumulative amount of $500 or more were made during the reporting period. The statement shall be filed with the State Board of Elections: (a) before execution of a contract by the State, a county, a municipal corporation, or other political subdivision of the State, and shall cover the 24 months prior to when a contract was awarded; and (b) if the contribution is made after the execution of a contract, then twice a year, throughout the contract term, on: (i) May 31, to cover the six (6) month period ending April 30; and (ii) November 30, to cover the six (6) month period ending October 31.

E. DRUG AND ALCOHOL FREE WORKPLACE

I CERTIFY THAT:

(1) Terms defined in COMAR 21.11.08 shall have the same meanings when used in this certification.

(2) By submission of its bid or offer, the business, if other than an individual, certifies and agrees that, with respect to its employees to be employed under a contract resulting from this solicitation, the business shall:

(a) Maintain a workplace free of drug and alcohol abuse during the term of the contract;

(b) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of drugs, and the abuse of drugs or alcohol is prohibited in the business’ workplace and specifying the actions that will be taken against employees for violation of these prohibitions;

(c) Prohibit its employees from working under the influence of drugs or alcohol;

(d) Not hire or assign to work on the contract anyone who the business knows, or in the exercise of due diligence should know, currently abuses drugs or alcohol and is not actively engaged in a bona fide drug or alcohol abuse assistance or rehabilitation program;

(e) Promptly inform the appropriate law enforcement agency of every drug-related crime that occurs in its workplace if the business has observed the violation or otherwise has reliable information that a violation has occurred;

(f) Establish drug and alcohol abuse awareness programs to inform its employees about:
(i) The dangers of drug and alcohol abuse in the workplace;

(ii) The business’s policy of maintaining a drug and alcohol free workplace;

(iii) Any available drug and alcohol counseling, rehabilitation, and employee assistance programs; and

(iv) The penalties that may be imposed upon employees who abuse drugs and alcohol in the workplace;

(g) Provide all employees engaged in the performance of the contract with a copy of the statement required by §E(2)(b), of this regulation;

(h) Notify its employees in the statement required by §E(2)(b), of this regulation, that as a condition of continued employment on the contract, the employee shall:

(i) Abide by the terms of the statement; and

(ii) Notify the employer of any criminal drug or alcohol abuse conviction for an offense occurring in the workplace not later than 5 days after a conviction;

(i) Notify the procurement officer within 10 days after receiving notice under §E(2)(h)(ii), of this regulation, or otherwise receiving actual notice of a conviction;

(j) Within 30 days after receiving notice under §E(2)(h)(ii), of this regulation, or otherwise receiving actual notice of a conviction, impose either of the following sanctions or remedial measures on any employee who is convicted of a drug or alcohol abuse offense occurring in the workplace:

(i) Take appropriate personnel action against an employee, up to and including termination; or

(ii) Require an employee to satisfactorily participate in a bona fide drug or alcohol abuse assistance or rehabilitation program; and

(k) Make a good faith effort to maintain a drug and alcohol free workplace through implementation of §E(2)(a)—(j), of this regulation.

(3) If the business is an individual, the individual shall certify and agree as set forth in §E(4), of this regulation, that the individual shall not engage in the unlawful manufacture, distribution, dispensing, possession, or use of drugs or the abuse of drugs or alcohol in the performance of the contract.

(4) I acknowledge and agree that:

(a) The award of the contract is conditional upon compliance with COMAR 21.11.08 and this certification;

(b) The violation of the provisions of COMAR 21.11.08 or this certification shall be cause to suspend payments under, or terminate the contract for default under COMAR 21.07.01.11 or 21.07.03.15, as applicable; and

(c) The violation of the provisions of COMAR 21.11.08 or this certification in connection with the contract may, in the exercise of the discretion of the Board of Public Works, result in suspension and debarment of the business under COMAR 21.08.03.
F. CERTAIN AFFIRMATIONS VALID

I FURTHER AFFIRM THAT:

To the best of my knowledge, information, and belief, each of the affirmations, certifications, or acknowledgements contained in that certain Bid/Proposal Affidavit dated ________, 20__, and executed by me for the purpose of obtaining the contract to which this Exhibit is attached remains true and correct in all respects as if made as of the date of this Contract Affidavit and as if fully set forth herein.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date:_______

By:___________________________ (printed name of Authorized Representative and affiant)

___________________________ (signature of Authorized Representative and affiant)
SOLICITATION TERMS AND CONDITIONS

1. Contractor’s/Proposer’s Responsibility.
Proposers are advised to read the requirements very carefully to ensure that each requirement is understood. The Technical Proposal and the Price Proposal is considered by the University to be the Proposer’s Offer, both individually and collectively. If in doubt, develop and submit applicable questions, in writing to the contact at the Issuing Office per the RFP. A Proposer's misinterpretation of requirements shall not relieve the Proposer of responsibility to accurately address the requirements of the RFP or to perform the contract, if awarded. UMBC will enter into a contractual agreement with the selected Contractor only. The selected Contractor shall be solely responsible for all services as required by this RFP. Subcontractors, if any, will be the responsibility of the primary Contractor and the role of subcontractors must be clearly identified in the proposal. The use of a subcontractor does not relieve the Contractor of liability under this contract.

2. General Requirement.
Proposals must be made in the official name of the firm or individual under which business is conducted, showing the official business address, state in which it is incorporated or organized (if Proposer is not an individual) and must be signed by a duly authorized person. Proposals must be prepared in writing, simply and economically, providing a straightforward, concise description of the Proposer's proposal for meeting the required specifications of this procurement. Proposers must paginate each proposal volume and are requested to provide tabs to separate responses to the technical criteria.

3. Receipt of Proposals.
Proposals will not be opened publicly; nor, can the identity of Proposers (individuals or entities) submitting proposals (“Proposers”) be disclosed prior to actual contract award.

4. Duration of Offers.
Proposals (Technical Proposal and, if applicable, Price Proposal) submitted in response to this solicitation are irrevocable for 120 days following the closing date of the Price Proposal due date. This period may be extended by mutual agreement between the proposer and the University.

5. Rejection or Acceptance of Proposals.
The University reserves the right to accept or reject any and all proposals, in whole or in part, received as a result of this RFP, to waive minor irregularities, to negotiate in any manner necessary to best serve the interest of the University. Further, the University reserves the right to make a whole award, multiple awards, a partial award or no award at all. Proposers judged by the procurement officer not to be responsible or Proposers whose proposals are classified as not reasonably susceptible of being selected for award shall be so notified. The University reserves the right to increase or decrease the quantities of any materials, equipment, supplies or services.

6. Cancellation of the RFP.
UMBC may cancel this RFP, in whole or in part, at any time.

7. Incurred Expenses.
Neither UMBC nor the State of Maryland is responsible for any expenses that Proposers may incur in preparing and submitting proposals or in making oral presentations of their proposals, if required.

8. Payment.
The State of Maryland usually provides payments on a net 30 day basis for UMBC approved invoices. Payment provisions shall be in arrears, with late payment and interest calculated as provided by Maryland law. For purposes of determining whether a prompt-payment discount, if applicable, may be taken by UMBC, the starting date of such reckoning period shall be the later of the date of a properly executed invoice or the date of completion of service and delivery of product.

If the annual dollar value of this contract will exceed $500,000.00, the Bidder/Offeror is hereby advised that electronic funds transfer (EFT) will be used by the State to pay the Contractor for this Contract and any other State payments due Contractor unless the State Comptroller's Office grants the Contractor an exemption. By submitting a response to this solicitation, the Offeror agrees to accept payment by electronic fund transfer unless the State Comptroller’s Office grants an exemption. The selected Offeror shall register using the GAD X-10 Contractor EFT Registration Request Form. This form is to be submitted directly to the Comptroller’s Office (not to UMBC). Any request for exemption must be submitted to the State Comptroller’s Office for approval at the address specified on the GAD X-10 form and must include the business identification information as stated on the form and include the reason for the exemption. The form is available as a pdf file on the web site of the General Accounting Division of the Comptroller of Maryland.

This RFP shall be conducted in accordance with USM Procurement Policies and Procedures. The procurement method is Competitive Sealed Proposals. The text of the Policies and Procedures is available at http://www.usmd.edu/regents/bylaws/SectionVIII/VIII300.html.
11. Confidentiality.
A Proposer should give specific attention to the identification of those portions of the proposal that the Proposer deems to be confidential, proprietary information or trade secrets and provide any justification why such materials, upon request, should not be disclosed by the State under the Public Information Act, General Provisions Article, Title 4, Annotated Code of Maryland, as amended. Proposers are advised that, upon request for this information from a third party, the Procurement Officer is required to make an independent determination as to whether the information may or may not be disclosed to the requesting party. That decision will take into consideration the Proposer’s position regarding its proposal. A blanket statement by a Proposer that its entire proposal is confidential or proprietary will not be upheld.

State procurement regulations require that proposals contain certifications regarding non-collusion, debarment, cost and price, etc. The affidavit form, which should be completed by all respondents and returned with their respective responses, is included as Appendix A of the RFP.

Proposals should be prepared simply and economically, providing a straightforward, concise description of the contractor's offer to meet the requirements of the RFP.

14. Multiple Proposals.
Contractors may not submit more than one proposal.

15. Alternate Solution Proposals.
Contractors may submit an alternate to the solution given in this RFP.

16. Contractor Responsibilities and Use of Subcontractors
The University of Maryland, Baltimore County shall enter into contractual agreement with the selected offering contractor only. The selected contractor shall be responsible for all products and services required by this RFP. UMBC will consider proposals that reflect primary and secondary service providers or prime/subcontractor relationship. There should be proof of ability of the primary to manage a subcontractor and successfully coordinate the delivery of quality service and support in a timely manner. Subcontractors, if any, shall be identified and a complete description of their role relative to the proposal shall be included. University of Maryland, Baltimore County’s intent is not to direct the use of any particular subcontractor, however, the contractor will not contract with any such proposed person or entity to whom UMBC has a reasonable objection. Notification of such objection will be made by UMBC within 15 days of contract. The contractor shall be fully responsible for the acts and omissions of its subcontractors and of persons directly or indirectly employed by them. The use of subcontractors does not relieve the contractor of liability.

The Contractor and its principal subcontractors must provide access to pertinent records by University personnel or its representatives (including internal auditors, external auditors, representatives, or agents) to provide quality assurance and auditing.

18. Arrearages.
By submitting a response to this solicitation, a contractor shall be deemed to represent that it is not in arrears in the payment of any obligation due and owing the State of Maryland, including the payment of taxes and employee benefits and that it shall not become so in arrears during the term of the contract if selected for contract award.

University of Maryland, Baltimore County is exempt from Federal Excise Taxes, Maryland Sales and Use Taxes, and the District of Columbia Sales Taxes and Transportation Taxes, except as noted in applicable sections of COMAR. Exemption Certificates shall be provided upon request. Where a Contractor is required to furnish and install material in the construction or improvement of real property in performance of a contract, Contractor shall pay the Maryland Sales tax and the exemption does not apply.

20. RFP Response Materials.
All written materials submitted in response to this RFP become the property of University of Maryland, Baltimore County and may be appended to any formal documentation, which would further define or expand the contractual relationship between University of Maryland, Baltimore County and the successful contractor.

Unsuccessful proposers (“Offerors”) may request a debriefing. If the proposer chooses to do so, the request must be submitted in writing to the Procurement Officer within ten days after the proposer knew, or should have known its proposal was unsuccessful. Debriefings shall be limited to discussion of the specific proposer’s proposal only and not include a discussion of a competing offeror’s proposal. Debriefings shall be conducted at the earliest feasible time.

The debriefing may include information on areas in which the unsuccessful proposer’s proposal was deemed weak or insufficient. The debriefing may NOT include discussion or dissemination of the thoughts, notes or ranking from an individual evaluation committee member. A summarization of the procurement officer’s rationale for the selection may be given.
22. **Maryland Public Ethics Law, Title 5.**

The Maryland Public Ethics Law prohibits, among other things: State employees or officials (and in some cases, former employees) and businesses in which such an individual is employed or holds a financial interest from (i) submitting a bid or proposal, (ii) negotiating a contract, and (iii) entering into a contract with the governmental unit with which the individual is affiliated as provided in Title 5, Subtitle 5 of the General Provisions Article of the Annotated Code of Maryland, as amended.

If the bidder/offeror has any questions concerning application of the State Ethics law to the bidder/offeror's participation in this procurement, it is incumbent upon the bidder/offeror to see advise from the Maryland State Ethics Commission. The procurement officer may refer any issue raised by a bid or proposal to the State Ethics Commission. The procurement officer may require the bidder/offeror to obtain advise from the State Ethics Commission and may reject a bid or proposal that would result in a violation of the Ethics law.

The resulting contract is cancelable in the event of a violation of the Maryland Public Ethics Law by the contractor or any State of Maryland employee in connection with this procurement.

23. **Assistance in Drafting.**

Under the General Provisions Article § 5-508 of the Annotated Code of Maryland, an individual or person who employs an individual who assists an executive unit in drafting specifications, an invitation for bids, a request for proposals for a procurement, or the selection or award made in response to an invitation for bids or request for proposals may not submit a bid or proposal for that procurement or assist or represent another person, directly or indirectly, who is submitting a bid or proposal for that procurement. For questions regarding the applicability of this provision of the Public Ethics Law, contact the State Ethics Commission.